



McDONALD INSTITUTE CONVERSATIONS

# Far from the Hearth

Essays in Honour of Martin K. Jones

Edited by Emma Lightfoot, Xinyi Liu & Dorian Q Fuller



Far from the Hearth



*(Above) Martin Jones at West Stow, 1972 (with thanks to Ian Alister, Lucy Walker, Leonie Walker, and West Stow Environmental Archaeology Group); (Below) Martin Jones in a millet field, Inner Mongolia, 2010. (Photograph: X. Liu.)*





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*Published by:*

McDonald Institute for Archaeological Research  
University of Cambridge  
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Cambridge CB2 3ER  
UK  
(0)(1223) 339327  
info@mcdonald.cam.ac.uk  
www.mcdonald.cam.ac.uk



McDonald Institute for Archaeological Research, 2018

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ISBN: 978-1-902937-87-8

Cover image: *Foxtail millet field near Xinglonggou, Chifeng, China, photographed by Xinyi Liu, September 2014.*

Edited for the Institute by James Barrett (*Series Editor*) and Anne Chippindale.

# CONTENTS

Contributors	vii
Figures	viii
Tables	xvi
Acknowledgements	xx
<i>Foreword</i>	xxi
JAMES H. BARRETT	
<b>Part I</b>	<b>Introduction</b>
	<b>1</b>
	Introduction: Far from the Hearth
	3
	XINYI LIU, EMMA LIGHTFOOT & DORIAN Q FULLER
<b>Part II</b>	<b>A Botanical Battleground</b>
	<b>7</b>
<i>Chapter 1</i>	The Making of the Botanical Battle Ground: Domestication and the Origins of the Worlds' Weed Floras
	9
	DORIAN Q FULLER & CHRIS J. STEVENS
<i>Chapter 2</i>	The Fighting Flora: An Examination of the Origins and Changing Composition of the Weed Flora of the British Isles
	23
	CHRIS J. STEVENS & DORIAN Q FULLER
<i>Chapter 3</i>	A System for Determining Plant Macro Archaeological Remains
	37
	VICTOR PAZ
<i>Chapter 4</i>	Phytoliths and the Human Past: Archaeology, Ethnoarchaeology and Paleoenvironmental Studies
	51
	CARLA LANCELOTTI & MARCO MADELLA
<i>Chapter 5</i>	Genetics and the Origins of European Agriculture
	65
	TERRY BROWN
<i>Chapter 6</i>	Martin Jones' Role in the Development of Biomolecular Archaeology
	71
	TERRY BROWN, RICHARD P. EVERSLED & MATTHEW COLLINS
<b>Part III</b>	<b>The Stomach and the Soul</b>
	<b>75</b>
<i>Chapter 7</i>	'Rice Needs People to Grow it': Foraging/farming Transitions and Food Conceptualization in the Highlands of Borneo
	77
	GRAEME BARKER, CHRISTOPHER O. HUNT, EVAN HILL, SAMANTHA JONES & SHAWN O'DONNELL
<i>Chapter 8</i>	How did Foraging and the Sharing of Foraged Food Become Gendered?
	95
	CYNTHIA LARBET
<i>Chapter 9</i>	Agriculture is a State of Mind: The Andean Potato's Social Domestication
	109
	CHRISTINE A. HASTORF
<i>Chapter 10</i>	Archaeobotanical and Geographical Perspectives on Subsistence and Sedentism: The Case of Hallan Çemi (Turkey)
	117
	MANON SAVARD
<i>Chapter 11</i>	Rice and the Formation of Complex Society in East Asia: Reconstruction of Cooking Through Pot Soot- and Carbon-deposit Pattern Analysis
	127
	LEO AOI HOSOYA, MASASHI KOBAYASHI, SHINJI KUBOTA & GUOPING SUN
<i>Chapter 12</i>	Food as Heritage
	145
	GILLY CARR, MARIE LOUISE STIG SØRENSEN & DACIA VIEJO ROSE



<b>Part IV</b>	<b><i>Between Fertile Crescents</i></b>	<b>153</b>
<i>Chapter 13</i>	From a Fertile Idea to a Fertile Arc: The Origins of Broomcorn Millet 15 Years On XINYI LIU, GIEDRE MOTUZAITE MATUZEVICIUTE & HARRIET V. HUNT	155
<i>Chapter 14</i>	A World of C <sub>4</sub> Pathways: On the Use of $\delta^{13}\text{C}$ Values to Identify the Consumption of C <sub>4</sub> Plants in the Archaeological Record EMMA LIGHTFOOT, XINYI LIU & PENELOPE J. JONES	165
<i>Chapter 15</i>	The Geography of Crop Origins and Domestication: Changing Paradigms from Evolutionary Genetics HARRIET V. HUNT, HUGO R. OLIVEIRA, DIANE L. LISTER, ANDREW C. CLARKE & NATALIA A.S. PRZELOMSKA	177
<i>Chapter 16</i>	The Adoption of Wheat and Barley as Major Staples in Northwest China During the Early Bronze Age HAIMING LI & GUANGHUI DONG	189
<i>Chapter 17</i>	When and How Did Wheat Come Into China? ZHIJUN ZHAO	199

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## Figures

### Chapter 1

1.1	<i>Wild barley spikelets (Hordeum spontaneum).</i>	11
1.2	<i>Seed size increase over time standardized to percentage change, comparing Southwest Asia and China.</i>	12
1.3	<i>Charts showing founder weed taxa over time and proportion of cereals in the plant assemblage.</i>	16
1.4	<i>A field of wheat in which weedy oats and wild barley appear to be rather better than the crop.</i>	17

### Chapter 2

2.1	<i>Diagrammatic representation of seed-bank types—autumn sowing-tillage cycle.</i>	25
2.2	<i>Diagrammatic representation of seed-bank types—spring sowing-tillage cycle.</i>	25
2.3	<i>Relative presence and persistence of seed-banks types I–IV in the field after ard cultivation.</i>	26
2.4	<i>Relative presence and persistence of seed-banks types I–IV in the field after mouldboard plough cultivation.</i>	26
2.5	<i>Timeline of agricultural changes and number of introduced/reintroduced weed flora.</i>	30

### Chapter 3

3.1	<i>Identification and determination of plant macro remains.</i>	42
3.2	<i>Diagram of determination process.</i>	45

### Chapter 4

4.1	<i>Increase in phytolith studies in the last 15 years.</i>	52
-----	--	----

### Chapter 5

5.1	<i>The first ancient DNA sequences obtained from charred grain.</i>	66
-----	---	----

### Chapter 7

7.1	<i>Borneo, showing the location of the Kelabit Highlands and other locations.</i>	77
7.2	<i>Penan encampment in the Baram valley.</i>	78
7.3	<i>Kelabit longhouse at Pa'Daleh, southern Kelabit Highlands.</i>	79
7.4	<i>Map showing key sites and locations in the Kelabit Highlands.</i>	79
7.5	<i>Oxcal plots of summed probabilities from archaeological and landscape sites.</i>	82
7.6	<i>Stratigraphic summaries of the cores and geoarchaeological sites.</i>	83

### Chapter 11

11.1.	<i>Burn mark above the waterline after experimental cooking of liquid-rich food.</i>	130
11.2.	<i>The style of Jomon and Yayoi major cooking pots.</i>	131
11.3.	<i>Removing excess water after boiling rice (Central Thailand).</i>	134
11.4.	<i>Steaming stage of the yutori boil-and-steam rice-cooking method reconstructed with Yayoi pots.</i>	134
11.5.	<i>Cooking pot styles of the Tianluoshan site.</i>	136
11.6.	<i>Shift of proportions of cooking-pot styles in Hemudu culture.</i>	137
11.7.	<i>TLS round-body pots characteristic soot and burn mark.</i>	137
11.8.	<i>Layered burn deposits formed after experimental porridge cooking.</i>	138

### Chapter 12

12.1	<i>Photographs taken at the Refugee Camp in Idomeni, Greece, March/April 2016.</i>	146
12.2	<i>An example of a 'Mediterranean Diet' meal.</i>	147
12.3	<i>A Guernsey occupation-era kitchen, complete with food-related objects.</i>	149

### Chapter 13

13.1	<i>Locations of key millet sites across Eurasia.</i>	156
13.2	<i>Harriet Hunt visiting the Vavilov Herbarium, St Petersburg.</i>	158
13.3	<i>Martin Jones at a broomcorn millet field near Lanzhou, Gansu Province, western China.</i>	159
13.4	<i>Visiting millet sites in Gansu Province, western China.</i>	159



## Chapter 15

- |      |  |     |
|------|--|-----|
| 15.1 | <i>Martin Jones visiting the N.I. Vavilov Research Institute for Plant Industry, St Petersburg.</i>            | 178 |
| 15.2 | <i>Barley exemplifies the complexity of inheritance of different segments of a domesticated crop's genome.</i> | 183 |

## Chapter 16

- |       |   |     |
|-------|---|-----|
| 16.1. | <i>Distribution of prehistoric sites in the NETP and Hexi Corridor.</i>               | 190 |
| 16.2. | <i>The actual yield percentage of the sites in the NETP and Hexi Corridor.</i>        | 192 |
| 16.3. | <i>Sum of the actual yield percentage of the sites in the NETP and Hexi Corridor.</i> | 192 |
| 16.4. | <i>Carbonized plant seeds collected from Lijiaping Site.</i>                          | 194 |

## Chapter 17

- |       |   |     |
|-------|---|-----|
| 17.1. | <i>The potential routes for the spread of wheat into China.</i> | 205 |
|-------|---|-----|

## Tables

### Chapter 1

- |     |  |       |
|-----|--|-------|
| 1.1 | <i>Presence/absence of a select roster of founder weeds.</i> | 14–15 |
|-----|--|-------|

### Chapter 2

- |     |  |      |
|-----|--|------|
| 2.1 | <i>Common weeds within British archaeobotanical assemblages.</i> | 28–9 |
|-----|--|------|

### Chapter 3

- |     |   |    |
|-----|---|----|
| 3.1 | <i>Classifications of seeds based on preservation conditions.</i>                   | 40 |
| 3.2 | <i>Variables relevant in establishing the level of confidence of determination.</i> | 40 |

### Chapter 4

- |     |  |    |
|-----|--|----|
| 4.1 | <i>Phytolith production and taxonomic specificity for the world's major crops.</i> | 54 |
|-----|--|----|

### Chapter 6

- |     |   |    |
|-----|---|----|
| 6.1 | <i>Projects and workshops funded by the NERC Ancient Biomolecules Initiative (1993–1998).</i> | 73 |
|-----|---|----|

### Chapter 7

- |     |  |      |
|-----|--|------|
| 7.1 | <i>Radiocarbon dates from archaeological and palynological sites in the Kelabit Highlands.</i> | 84–5 |
|-----|--|------|

### Chapter 8

- |     |   |         |
|-----|---|---------|
| 8.1 | <i>Published studies on remains of starchy plants during prehistoric hunter-gatherer periods.</i> | 100–101 |
|-----|---|---------|

### Chapter 10

- |      |   |        |
|------|---|--------|
| 10.1 | <i>Archaeobotanical results from Hallan Çemi.</i> | 118–19 |
|------|---|--------|

### Chapter 14

- |      |  |        |
|------|--|--------|
| 14.1 | <i>List of edible plants found in Haryana and their photosynthetic pathways.</i> | 170–72 |
|------|--|--------|

### Chapter 16

- |       |   |     |
|-------|---|-----|
| 16.1. | <i>Calibrated radiocarbon data in the Hehuang Basin and Hexi Corridor.</i>          | 191 |
| 16.2. | <i>Charred seeds from the Lijiaping site, Linxia county, Gansu Province, China.</i> | 193 |

### Chapter 17

- |       |  |     |
|-------|--|-----|
| 17.1. | <i>Early wheat remains in last-century archaeological discoveries.</i> | 201 |
| 17.2. | <i>Early wheat remains with only relative ages.</i>                    | 202 |
| 17.3. | <i>Directly dated early wheat remains.</i>                             | 203 |
| 17.4. | <i>List of archaeological cultures in the Central Asian Steppe.</i>    | 207 |

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## *Acknowledgements*

The initial idea of editing this volume grew out of a conversation between Xinyi Liu and Graeme Barker at St John's College, Cambridge in June 2016. The editors subsequently discussed the provisional layout of the volume. By April of the following year, our list of agreed contributors was complete. Abstracts followed, and the chapters themselves soon after. First of all, the editors would like to pay tribute to our 36 authors, whose excellent work and timely contributions made it all possible.

For the last two-and-a-half years, the volume has been known as 'Fantastic Beasts' in order to keep it a secret from Martin. As we enter the final stage, we wish to extend our thanks to all who have ensured Martin remains blissfully unaware, including Lucy Walker, and we offer her our sincere thanks. We are extremely grateful to Harriet Hunt, Diane Lister, Cynthia Larbey and Tamsin O'Connell, who are kindly

organizing the gatherings to mark Martin's retirement and the publication of this volume.

With respect to the volume's production, we would like to thank the McDonald Institute for Archaeology Research for financial support. The McDonald Monograph Series Editor James Barrett oversaw and encouraged all aspects of this project, and we offer him sincere thanks. We would also like to acknowledge the support of Cyprian Broodbank, not least for allowing us to host the workshop at the institute, but also for his encouragement throughout all phases of the volume's implementation. Particular thanks must go to several key individuals: Anne Chippindale, Ben Plumridge, Emma Jarman, Simon Stoddart and Samantha Leggett. Finally, we are also grateful to the anonymous reviewers who recommended changes that have greatly enhanced the final version of this volume.

*Xinyi Liu, Emma Lightfoot and Dorian Fuller  
August 2018*



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## Foreword

The 28-year term of Martin Jones as the first George Pitt-Rivers Professor of Archaeological Science witnessed, and in part created, a transformation in the fields of environmental and biomolecular archaeology. In this volume, Martin's colleagues and students explore the intellectual rewards of this transformation, in terms of methodological developments in archaeobotany, the efflorescence of biomolecular archaeology, the integration of biological and social perspectives, and the exploration of archaeobotanical themes on a global scale. These advances are worldwide, and Martin's contributions can be traced through citation trails, the scholarly diaspora of the Pitt-Rivers Laboratory and (not least) the foundations laid by the Ancient Biomolecules Initiative of the Natural Environment Research Council (1989–1993), which he chaired and helped create. As outlined in Chapter 6, Martin's subsequent role in the bioarchaeology programme of the Wellcome Trust (1996–2006) further consolidated what is now a central and increasingly rewarding component of archaeological inquiry. Subsequently, he has engaged with the European Research Council, as Principal Investigator of the Food Globalisation in Prehistory project and a Panel Chair for the Advanced Grant programme. As both practitioner and indefatigable campaigner, he has promoted the field in immeasurable ways, at critical junctures in the past and in on-going capacities as a research leader.

The accolades for Martin's achievements are many, most recently Fellowship of the British Academy. Yet it is as a congenial, supportive—and demanding—force within the Pitt-Rivers Laboratory that the foundations of his intellectual influence were laid. Here, each Friday morning, the archaeological science community would draw sticks to decide who would deliver an impromptu research report or explore a topical theme. Martin is among the most laid-back colleagues I have worked with, yet simultaneously the most incisive in his constructive criticism. As a provider of internal peer-review he was fearless without being unkind. The themed Pitt-Rivers Christmas parties were equally impactful—on one occasion Alice Cooper appeared, looking ever so slightly like our professor of archaeological science.

Martin's roles as a research leader extended to several stints as head of the Department of Archaeology, chairing the Faculty of Archaeology and Anthropology and serving as a long-term member of the Managing Committee of the McDonald Institute for Archaeological Research. Having started his professional career as an excavation-unit archaeobotanist in Oxford, he was a long-standing proponent of the highly successful Cambridge Archaeological Unit. In the wider collegiate community, he is a Fellow (and was Vice-Master) of Darwin College and was the staff treasurer of the Student Labour Club. In all roles he fought valiantly and often successfully for the interests of his constituency. His capacity to fight for deeply held priorities while recognizing the value of diverse perspectives was of utmost importance. His nostalgic enthusiasm for the debate with archaeological science that was engendered by the post-processual critique is one signal of an underlying appreciation of plurality. His active support for the recent merger of the Divisions of Archaeology and Biological Anthropology, within our new Department of Archaeology, is another. As a scientist (Martin's first degree, at Cambridge, was in Natural Sciences) he values the peer-reviewed journal article above all scholarly outputs, yet has authored as many highly regarded books as a scholar in the humanities. His *Feast: Why humans share food* has been translated into several languages and won Food Book of the Year from the Guild of Food Writers. He views academia and society as a continuum, campaigning for archaeobotanical contributions to global food security (e.g. by promoting millet as a drought-resistant crop) and working with world players such as Unilever to encourage archaeologically informed decisions regarding food products.

That Martin's achievements and influence merit celebration is clear. That his colleagues and students wish to honour him is equally so. Yet does the McDonald Conversations series publish *Festschriften*? This is a semantic question. As series editor I am delighted to introduce a collection of important papers regarding the past, present and future of archaeobotany, representing its methodological diversity and maturity. That this collection concurrently pays respect to a treasured colleague is a very pleasant serendipity.

Dr James H. Barrett

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## Chapter 4

# Phytoliths and the Human Past: Archaeology, Ethnoarchaeology and Palaeoenvironmental Studies

Carla Lancelotti & Marco Madella

In this chapter we will explore the evolution of phytolith studies since its inception in Europe. We will bring together the historical development of the methodological approach and the current contribution of this proxy to our understanding of plant use, the origin of agriculture and agricultural techniques in the past.

### A brief history of phytolith studies

Microscopic hydrated silica particles formed in plants have over the years been referred to as ‘opal phytoliths’, ‘biogenic silica’, ‘silica phytoliths’, ‘plant opal’, ‘biogenic opal’ and simply ‘phytoliths’. The first observation of mineral particles from plants was reported by Leeuwenhoek in 1675, though he used the term phytoliths to describe calcium oxalates (Mulholland & Rapp 1992). The term phytolith for defining microscopic opaline bodies deposited in plants initially appeared in a paper by Ruprecht (cited in Baker 1959a,b), but their discovery and description dates back to the first half of the nineteenth century. According to Powers (1992 and references therein), the history of phytolith studies can be divided into four periods.

#### *Discovery and exploration period: (c. 1835–1900)*

Struve, a German scholar at the University of Berlin, in 1835 produced a dissertation on silica in plants (cited in Powers 1992), thus placing the ‘scientific discovery’ of phytoliths one year before that of pollen. A decade later Ehrenberg, another German scholar, observed, described and classified silica particles he found in sediment samples, calling them ‘Phytolitaria’ (from the greek φυτόν/*phutón* ‘plant’ and λίθος/*lithos* ‘stones’). It was Ehrenberg himself who identified phytoliths in the samples of dust collected by Darwin on the deck of HMS *Beagle* (Darwin 1846).

#### *Botanical research period (c. 1895–1936)*

Towards the end of the nineteenth century and during the first half of the twentieth, phytoliths were

recognized as particles produced within plants and studies related to production, taxonomy and morphology flourished (Grob 1896; Haberlandt 1914; Mobius 1908). It is in this period that the first applications of phytolith analysis to archaeological studies appear (Netolitzky 1900; 1914; Schellenberg 1908). As for the previous period of discovery and exploration, the German school dominates phytolith studies and the body of literature is therefore published in German.

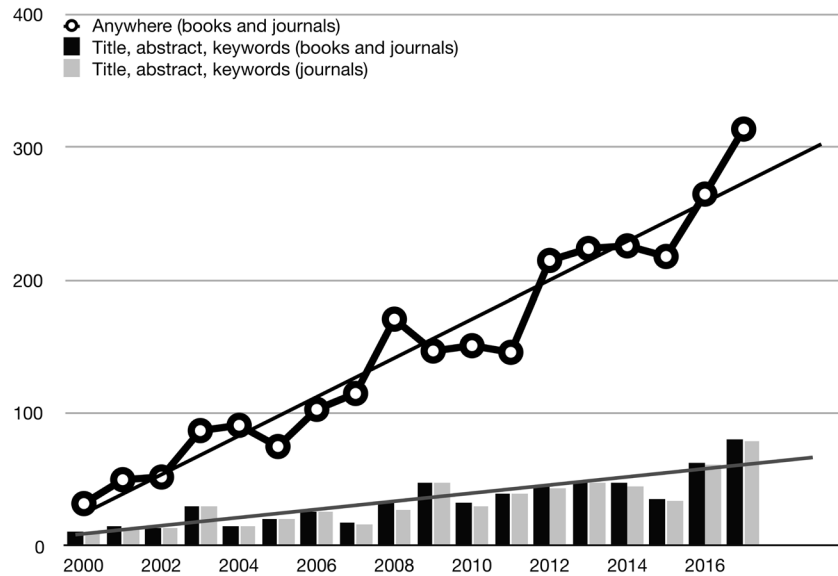
#### *Ecological and paleoecological research (c. 1955–1975)*

During the 1950s and 1960s, scholars from the United States, the United Kingdom and Australia started investigating phytoliths, thus producing the earliest body of literature in English. In this period morphology is examined in more detail and in many more plant families, resulting in studies that are considered the bases of phytolith classification and they are still in use (e.g. Metcalfe 1960; Twiss *et al.* 1969). Studies in archaeology also proliferate, with researchers starting to work on different types of deposits and materials (e.g. Helbaek 1961; 1969: working on ashes and ceramics from the Near East) and in different areas of the world (e.g. Watanabe 1955; 1968; 1970: identifying rice phytoliths in prehistoric deposits from Japan). A seminal publication, which contributed to increase phytoliths visibility in Quaternary studies, was the review of the potential of phytoliths in palaeoecological reconstruction published by Rovner (1971) in the journal *Quaternary Research*.

#### *Modern period (c. 1978–2000)*

The last two decades of the twentieth century are characterized by an exponential increase in phytolith studies (Fig. 4.1), both geographically and in scope. Specific studies on families or species become routine: Cucurbitaceae (Bozarth 1987; Piperno *et al.* 2000), Fabaceae (Bozarth 1990) and Cyperaceae (Ollendorf 1992; Ollendorf *et al.* 1987) become a focus of interest, as well as some dicotyledonous species for their inter-

**Figure 4.1.** Increase in phytolith studies in the last 15 years from a search on ScienceDirect, using as keyword the term 'phytolith' in any field (trend line); only in 'title', 'abstract' and 'keywords' in both books and journals (black columns); and only journals (grey columns).



est in past vegetation and human use (Bozarth 1992). Maize (Mulholland *et al.* 1988; Piperno 1984; Piperno & Pearsall 1993), rice (Houyan *et al.* 1997) and wheat/barley (Ball *et al.* 1993; 1999) occupy, for their economic interest, a prominent spot in this area of studies. The geographical zones investigated in phytolith studies also expand, with research in Africa (Alexandre *et al.* 1997; Barboni *et al.* 1999; Jansen & van Iperen 1991; Mercader *et al.* 2000; Runge & Runge 1997), Central Asia (Madella 1997) and South East Asia (Bowdery 1999; Kealhofer & Penny 1998) appearing together with New Zealand (Kondo *et al.* 1994), Israel (Albert *et al.* 1999; 2000), China (Yongji 1991) and Brazil (Alexandre *et al.* 1999). The scope of research also widens and phytoliths are used as activity markers to study irrigation (Rosen & Weiner 1994), identify dietary practices from dental calculus (Ciochon *et al.* 1990; Danielson & Reinhard 1998; Fox *et al.* 1994) and infer function of stone tools (Anderson 1980; Jahren *et al.* 1997; Kealhofer *et al.* 1999; Sobolik 1996) and the formation of pastoral sites (Brochier *et al.* 1992). New techniques such as the isotopic study of phytoliths are also introduced (Fredlund & Tieszen 1997; Kelly *et al.* 1998; McClaran & Umlauf 2000; Shahack-Gross *et al.* 1996; Webb & Longstaffe 2000). Phytolith studies also assume the character of a mature discipline with the proliferation of meta-studies, in particular on extraction methods (Lentfer & Boyd 1998; Madella *et al.* 1998; Middleton & Rovner 1994; Powers & Gilbertson 1987).

In the next paragraphs, we will outline some of the major breakthroughs and developments in phytolith research in archaeology and palaeoenvironmental studies and, especially, in ethnoarchaeology.

### Methodological advances

The stage of maturity reached by the discipline in the last 15 years is testified by the number of works published since 2000 that critically reflect on the methodology itself. At the same time, technological improvements and the introduction of more sophisticated analytical tools contributed to an increase in research involving isotopic and genetic analysis of phytoliths.

#### *Phytolith extraction, identification and interpretation*

On the one hand, phytoliths from archaeological sites have been used to document crop plants, plant food, plant-made objects like mats and baskets, fuel types and construction materials. On the other hand, phytoliths from natural sequences have been used to understand vegetation changes between major ecological types (e.g. savannah, forest, grassland, etc.) or the dynamics of soil-formation processes. Several authors, however, have concentrated on extraction methods, either proposing new and improved techniques (Lombardo *et al.* 2016), concentrating on specific and problematic types of sediments (Calegari *et al.* 2013), combining extraction of several micro-remains (Horrocks 2005), improving the efficiency both in time and cost (Katz *et al.* 2010), comparing the results of different extraction methods (Parr 2002), or assessing the best extraction method for specific analyses for example isotopic studies (Asscher *et al.* 2017; Corbineau *et al.* 2013) or genetic analyses (Kistler 2012). Other methodological aspects on which researchers have concentrated are counting and nomenclature. Strömberg (2009) and Zurro (2017) question whether changing the count size



influences the interpretations of results and propose minimum count size as well as statistical techniques to ensure the robustness of results. The creation in 2000 of the International Committee on Phytolith Morphology responded to the need of the phytolith communities to standardize the terms that were used to describe phytoliths. The main result of this committee was the publication of the first International Code for Phytolith Nomenclature in 2005 (Madella *et al.* 2005). In 2014 the International Society for Phytolith Research appointed a new International Committee for Phytolith Taxonomy to continue this effort. Their first output was the publication of standardized guides for morphometric analysis of phytoliths (Ball *et al.* 2016b). Another important issue that has been deeply addressed in recent years concerns the role of taphonomic processes on the composition of phytolith assemblages. Madella and Lancelotti (2012) have offered a comprehensive review of the possible impacts of various taphonomic processes and proposed some ways of counterbalancing them in the analysis. At the same time, Cabanes and Shahack-Gross (2015) have performed experiments to assess phytolith preservation fully in sediments and understand the role of dissolution on the robustness of interpretations.

#### *Isotopes and DNA*

Isotopes from archaeological sites have been used for understanding, among other things, climatic and environmental change, past human diet, nutrition and mobility, past animal and crop management practices, and to build reliable chronologies. The isotopic analysis of occluded carbon in phytoliths, both for dating as well as for palaeoenvironmental reconstruction purposes, is an issue that has been abundantly debated in recent years (Piperno 2016). Studies have been performed to understand soil carbon sequestration in phytoliths (Parr & Sullivan 2005; Song *et al.* 2016), as well as the incidence of atmospheric carbon occluded in phytoliths (Carter 2009). Some of these publications have generated a debate centred on the validity of carbon isotopic analyses in phytoliths and what exactly is the signature measured through this technique (Santos & Alexandre 2017; Santos *et al.* 2016). Hodson and colleagues (2008) explored the potential of oxygen and silicon isotopes alongside carbon on the same plants of *Triticum* sp. and concluded that silicon and carbon are the most promising isotopic systems to be used in palaeoenvironmental studies, while more work on oxygen isotopes was needed to explain its patterns of variation. Following this, several groups have been working on oxygen isotope methodology (Chapligin *et al.* 2011; Crespin *et al.* 2008) up to the point where this technique has been fully validated

for palaeoenvironmental studies (Alexandre *et al.* 2012). Work on silicon isotopes, on the contrary, is much rarer, although the potential of this technique is gaining recognition (Leng & Sloane 2008; Leng *et al.* 2009), to the point that Hodson (2016) recognizes it as a commonly used technique.

Ancient DNA in archaeology has been used to understand human evolution and, when extracted from plants and animals, as a way to understand the processes involved in domestication. The extraction of DNA directly from phytoliths is related to the possible presence of organic material occluded within the silica. However, this seems to be a problematic avenue of study, as observed by Elbaum *et al.* (2009). An interesting side of DNA studies and phytoliths is the exploration of the genetic mechanisms involved in phytolith production. Despite the evidence that silicon is fundamental for plant growth, as it provides strength, detoxification and protection from animals (Piperno 2006), the exact mechanism for phytolith formation is still not fully understood. Piperno *et al.* (2002) indicate that phytolith formation in Cucurbitaceae is regulated by a dominant genetic locus previously associated with the production of lignin. The same research establishes that this locus also has an important role in phytolith morphology, constituting a major breakthrough in the understanding of phytolith formation and taxonomy.

#### **Phytoliths in archaeology**

The process of domestication of plants and the setting and spread of agriculture was a transformational moment in the socio-ecological history of our species. Currently, the archaeological record shows that, starting around 12,000 years ago, plant cultivation and domestication developed independently in several regions of the world and then spread via cultural or demic diffusion into most geographical areas (Larson *et al.* 2014). Archaeobotany has focused on developing methods for identifying the domestication process, the cultivation of plants and fully fledged agriculture from wild plants and crops remains. During the last 20 years, phytoliths in all regions of the world have become an important proxy in this research, alongside macro remains, pollen and starch grains (e.g. Pearsall 2015b; Piperno 2006; 2009). After many years of work focused on the standardization of identification characteristics based on reference collections and morphometric analysis of phytoliths from wild species and crops, the discipline has finally reached sound and replicable procedures. Piperno (2006) performed the first review of crop phytoliths, followed by more recent endeavours from Piperno (2012) and Ball *et al.* (2016a).

**Table 4.1.** Phytolith production and taxonomic specificity for the world's major crops.

	Plant	Phytolith production	Taxonomic specificity	Plant Part
Southwest Asia	<i>Triticum</i> spp. (einkorn, emmer, other species)	Very high	Genus	Inflorescence bracts (glume, lemma and palea)
	<i>Hordeum</i> spp. (barley, other wheats)	Very high	Genus	Inflorescence bracts (glume, lemma and palea)
East Asia	<i>Oryza sativa</i> (rice)	Very high	Species	Glume, Leaf (bulliform cells)
	<i>Setaria</i> spp. (foxtail millets)	Very high	Genus	Glume
	<i>Panicum</i> spp. (broomcorn millets)	Very high	Genus	Glume
South and Southeast Asia	<i>Musa</i> spp. (bananas)	High	Genus, Section, Species	Leaf, Seed
	<i>Benincasa hispida</i> (wax gourd)	Very high	Genus (?)	Fruit rind
	<i>Cocos nucifera</i> (coconut)	Very high	Family or Subfamily	All plant parts
Africa	<i>Lagenaria siceraria</i> (bottle gourd)	Moderate	Genus	Fruit rind
	<i>Ensete ventricosum</i> (Abyssinian or Ethiopian bananas)	High	Genus	Leaf and seed
	<i>Sorghum bicolor</i> (sorghum)	High	Genus	Glume
Americas	<i>Zea mays</i> (maize)	Very high to low	Species	Cob (glume/cupule), Leaf, Husk
	<i>Cucurbita</i> spp. (squashes and gourds)	Very high/high	Family, Genus, Species	Fruit rind, Leaf
	<i>Lagenaria siceraria</i> (bottle gourd)	Moderate	Species	Fruit rind
	<i>Sicana odorifera</i> (cassabanana)	High	Genus	Fruit rind
	<i>Manihot esculenta</i> (manioc or yuca)	Very low	Genus	Most plant parts
	<i>Maranta arundinacea</i> (arrowroot)	Very high	Species	Seed
	<i>Calathea allouia</i> (Ilerén)	Very high to Moderate	Species	Seed, Rhizome
	<i>Ananas comosus</i> (pineapple)	Very high	Family	Leaf, Seed
	<i>Canna edulis</i> (achira)	Very high	Genus (?)	Leaf
	<i>Phaseolus vulgaris</i> and <i>lunatus</i> (common/lima bean)	Moderate	Genus	Pod
	<i>Helianthus annuus</i> (sunflower)	High	Family (Genus?)	Achene
	Arecaceae (palms)	Very high	Family, Subfamily, Genus (?)	All parts

Phytoliths have been used in a number of different ways to understand agricultural origin and dispersal:

- 1) as direct proxies for cultivation and domestication of certain species
- 2) as part of a multi-proxy research to identify past crops or wild species
- 3) as low-level taxonomic identifiers (e.g. species level) or identifiers of plant structures (e.g. inflorescences, leaves) less visible with other fossils
- 4) as proxies for the expansion of ancient crops.

Phytoliths significantly increase the traceability of several Old and New World crops, including taxa that are normally invisible in the charred record, such as some fruits or root crops, as well as enabling the identification of different plant structures pertaining to the

same crop (e.g. Corteletti *et al.* 2015; García-Granero *et al.* 2015a,b; Iriarte *et al.* 2012; Madella *et al.* 2014). The level of taxonomic significance of phytoliths will differ from species to species in the same manner as other fossil indicators of plant exploitation, such as charred remains of seeds.

In Table 4.1 we summarize the present understanding of crop identification based on phytoliths and in the following text we discuss the utility of phytoliths for identifying major crops and therefore agricultural origins and crop dispersal.

#### *Triticum and Hordeum spp. (wheat and barley)*

Wheat and barley are major silica accumulators, producing a variety of morphotypes such as the ones from epidermal cells: short cells; long cells; cork cells; papil-

lae; trichomes; and trichome bases. These bodies are very characteristic and can be diagnostic at genus level when a morphotypic and morphometric approach is used (e.g. Ball *et al.* 1999; 2009). There has also been some success in identification to species level, primarily based on the morphometric differences observed in the short cell (rondel), dendritic and/or papillae phytoliths (e.g. Ball *et al.* 1999; Rosen 1992; Tubb *et al.* 1993). Moreover, features of the anatomy displayed in the silicified epidermal tissues of cereals can be used to distinguish plant parts.

*Setaria and Panicum millets (foxtail and broomcorn millets) and other small millets*

Phytoliths from the inflorescence of *Setaria* and *Panicum* are extremely useful for identifying *Setaria italica* (foxtail millet), *Setaria viridis* (green foxtail) and *Panicum miliaceum* (common or broomcorn millet) and thus documenting the earliest history of domesticated millets in Eurasia (García-Granero *et al.* 2015a,b; Zhang *et al.* 2011; 2013). Important features to distinguish these taxa are the silica body shape, papillae characteristics (including presence/absence), epidermal long cell patterns and glume surface sculpture (Lu *et al.* 2009). A cautionary note is due when differentiating crop phytoliths from their Panicoid weedy wild relatives in archaeological contexts, as this can be a challenge due to similarities of identifiable Panicoid husk morphotypes. Strict identification criteria must therefore be followed for correct identifications. The discrimination between *S. italica* and its wild ancestor, *S. viridis*, is based on the morphometry of phytoliths in the upper lemma and palea (Zhang *et al.* 2011), although some uncertainty remains and more studies are needed to detect the presence of other potentially diagnostic features. Morphological and basic morphometric studies of glumes of other minor millets also show the potential of phytoliths for differentiating these important crops in the prehistory of Eurasia and Africa (Madella *et al.* 2014).

*Oryza sativa (rice)*

Phytoliths play a very important part in the archaeological study of rice domestication and cultivation. Currently, three distinct phytolith morphotypes are used to identify rice: double-peaked glume cells from the rice husk; bulliform cell phytoliths from the leaves; and articulated bilobate phytoliths from stems and leaves (Gu *et al.* 2013; Piperno 2006). Double-peaked glume cell phytoliths are unique to the genus *Oryza* and can discriminate domesticated rice from wild rice species of South and Southeast Asia on the basis of linear discriminant function analysis of glume cell measurements (Zhao & Piperno 2000) or three-dimensional measurements (Gu *et al.* 2013). The morphologi-

cal characters of bulliform cell phytoliths seems to be under genetic control, therefore reflecting taxonomical significance (Gu *et al.* 2013), and some features such as surface ornamentations have been employed to distinguish domesticated from wild rice (Huan *et al.* 2014; Wang & Lu 2012). Phytoliths can also be used as a tool for understanding the development and spread of rice (*Oryza* sp.) arable systems using arable weed ecologies as pioneered by Fuller and Weisskopf (2011).

*Musa spp. (true bananas) and Ensete ventricosum (Ethiopian/Abyssinian banana)*

The domestication and spread of true bananas (*Musa* spp.) is difficult to untangle. Current domestic bananas derive from the *Eumusa* (*Musa acuminata* [AA] and *Musa balbisiana* [BB]) and *Australimusa* (*M. macclayi*) sections of Musaceae through intra- and interspecific hybridization, polyploidization and somaclonal mutations, which resulted in seed sterility and parthenocarpy (De Langhe *et al.* 2009). Prehistoric and historical human populations spread domesticated *Eumusa* throughout the tropics and any evidence for *Musa* phytoliths outside Asia is indicative of cultivation (Vrydaghs & De Langhe 2003). Phytoliths can be produced in various plant tissues and organs of bananas (e.g. Chen & Smith 2013), with seed and leaf phytoliths being the most studied to date. In *Musa* and *Ensete* leaves, the silicification of cells from around the vascular tissue produces volcaniform (volcano-shaped) phytoliths (Ball *et al.* 2006). Both morphotypic (e.g. Vrydaghs *et al.* 2009) and morphometric studies (e.g. Lentfer 2009; Vrydaghs *et al.* 2009) have been carried out to be able to identify different *Musa* and *Ensete* species. The results show that volcaniform phytoliths can be discriminated at the genus level (distinguishing bananas from *Ensete* in archaeological records: e.g. Lentfer 2009; Mbida *et al.* 2001), but reliable identification at the species level is still wanting.

*Sorghum bicolor (sorghum), Pennisetum glaucum (pearl millet)*

A certain number of recent studies have showcased phytolith production in African domesticated grains and their wild progenitors (Logan 2012; Madella *et al.* 2014; Novello & Barboni 2015; Out & Madella 2017; Radomski & Neumann 2011). However, there are currently too few studies on phytolith production in the wild grasses inflorescences (Novello & Barboni 2015) to be able to identify specific morphotypes diagnostic to the genus or species level.

*Zea mays (maize)*

Maize is native to the central Balsas River region of tropical southwest Mexico (see van Heerwaarden *et*

al. 2011) and represents the main cereal crop of the Americas. More than three decades of focused research have demonstrated that phytoliths produced in the leaf and cob of maize are diagnostic, and distinguishable from those of teosinte (its wild ancestor) and other wild non-*Zea* grasses native to North, Central and South America (Ball *et al.* 2016a). The criteria used for the identification of maize phytoliths employ both size and morphology and, as with phytoliths from other crop plants, vegetative and inflorescence structures can be distinguished (leaf, stalk and seed chaff).

*Cucurbita squashes and gourds and other Cucurbitaceae*  
Squashes and gourds pertaining to the genus *Cucurbita*, as well as other types of Cucurbitaceae, were important early plants of the Americas, and they produce phytoliths of high taxonomic information to document their archaeological history. Many parts of the squash/gourd plants are high phytolith producers and the phytoliths obtained from fruit rinds are the most diagnostic. Morphotypic and morphometric studies have been used to discriminate between wild and domesticated *Cucurbita* species, with domesticated fruits often producing much larger and thicker phytoliths (Piperno 2006). Bottle gourd (*Lagenaria siceraria*) is indigenous to Africa, but spread to other continents by the early Holocene, and its large, scalloped phytoliths from fruit rinds have been recovered from early Holocene and later deposits in Central and South America (e.g. Piperno 2011).

*Maranta and Calathea (arrowroot and Ilerén, Marantaceae); Canna (Achira, Cannaceae); Manioc (Manihot esculenta, Euphorbiaceae)*

These tropical root crops (roots, rhizomes, tubers and corms) are today of minor importance, with the exception of manioc. The plants from the Zingiberales (Marantaceae and Cannaceae) generally produce (abundant) phytoliths that can be taxonomically diagnostic at order, family, genus and species level (e.g. Pearsall 2015a). Manioc, today one of the major root crops of the Americas, is a low silica accumulator (Piperno 2006), but by processing considerable quantities of tissues it was possible to identify silicified secretory bodies in the root rind, leaf, stem and fruit (Chandler-Ezell *et al.* 2006).

### Modern comparative approaches

Phytolith studies with an ethnoarchaeological or modern comparative approach started to become widespread from the late 2000s. This type of research concentrates on the analysis of phytoliths—often combined with other proxies—extracted from mod-

ern or historical ethnographic contexts. The aim of these studies is to build strong reference collections of phytolith assemblages produced by specific activities or materials. The rationale, grounded in middle-range theory, is that phytolith assemblages observed in ethnographic contexts can be linked directly to the anthropic or natural activity that produced them, thus offering interpretative values for archaeological and natural assemblages. The main themes in which ethnoarchaeological research on phytolith have been concentrated are:

- 1) The creation of plant and soil reference collections
- 2) Subsistence practices and other plant-related activities, such as crop processing
- 3) Use of space and spatial activities
- 4) The use of non-food plant resources, with a special focus on the identification of dung.

#### *Plant and soil reference collections*

Although not normally considered part of ethnoarchaeological research, the creation of reference collections responds to the general aim of creating a middle-range theory approach that help interpreting the archaeological (or environmental) record. Several studies have been devoted to the morphological and morphometric analyses of phytoliths produced by some of the major crops: Triticaceae and Avenae (Ball *et al.* 2009; 2017; Portillo *et al.* 2006); millets and sorghum (Lu *et al.* 2009; Madella *et al.* 2016; Out & Madella 2016; 2017; Tripathi *et al.* 2013; Zhang *et al.* 2011); and banana (Ball *et al.* 2006; Vrydaghs *et al.* 2009). Fewer studies have concentrated on non-domesticated species, focusing on phytolith production in wild grasses (Babot *et al.* 2017; Neumann *et al.* 2017), in dicotyledonous species (Collura & Neumann 2017; Mercader *et al.* 2009) or in a combination of plants (Tsartsidou *et al.* 2007). Reference collections of phytolith assemblages from sediments and soils are also investigated in order to be able to identify past vegetation cover (e.g. Blinnikov *et al.* 2013; Esteban *et al.* 2017; Gomes Coe *et al.* 2017; Iriarte & Paz 2009; Mercader *et al.* 2009). Either directed to the phytolith production of specific species or groups of species, conducted directly on the plants, or of phytolith assemblages representative of a specific vegetation type, these studies form the basis of the correct reconstruction of past plant use and plant cover.

#### *Subsistence practices and plant-related activities*

The major advances regarding subsistence practices and plant-related activities, in general, include the identification of the exploitation of wild and garden species (Weisskopf 2016) thereby addressing one of the major problems in archaeobotany, that is the vis-



ibility of so-called ‘alternative resources’. Phytoliths, being both exceedingly resistant to taphonomic alterations and plant-part specific, can be extremely useful in identifying different crop-processing steps. Harvey and Fuller (2005) showed how the *chaîne opératoire* of processing of millets and rice produces phytolith assemblages exclusive for each step. Specific stages of the crop-processing chain can also be investigated: Liu *et al.* (2017) analyse the use-wear effect of phytoliths on lithic tools, an approach that can offer fundamental insights to our understanding of pre-domestication processes. Ruiz-Perez *et al.* (2016) analysed phytolith assemblages from two ethnographic threshing floors, showing that the general pattern of phytolith deposition on the floor mirrored the circular movement of the activity performed.

#### *Spatial analyses of anthropic activities*

One of the most novel aspects of phytolith research in ethnoarchaeology is the application of multi-proxy and statistical methods for the identification of spatial distribution of activities. Briz Godino *et al.* (2011) and Zurro *et al.* (2017) use phytoliths in combination with other proxies to detail the formation processes and distinguish between specialized and generic activities in a shell-midden context in Tierra del Fuego. Hunter-gatherer contexts are especially difficult to study as they leave much more scanty evidence on the ground in respect to settled villages. Thus the work by Friesem *et al.* (2016) is particularly important in that it outlines a methodology that allows the identification of activity areas and their maintenance even in hostile preservation environments, such as tropical rainforests. On the other hand, settled farming villages produce assemblages that are much richer and often better preserved so that activities are recognizable at both domestic and village level (Jenkins *et al.* 2017; Portillo *et al.* 2014; Tsartsidou *et al.* 2008; 2009).

#### *Use of non-food resources: dung and mud bricks*

Amongst the plant non-food resources, much research has been invested in using phytoliths as one of the proxies for the identification of animal dung. Dung is widespread in archaeological contexts, although it is not always easy to identify as sometimes it leaves ephemeral traces and the most common proxy for dung—spherulites—is not always reliable (Lancelotti & Madella 2012). The correct identification of animal dung is fundamental for the implication that the use of this material has on the interpretation of human behaviour, on the one hand, for the correct identification of husbandry practices and pastoral sites (Elliott *et al.* 2015; Shahack-Gross *et al.* 2003; 2004) and on the other hand, for its importance as a fuel resource in

arid and semi-arid environments, where its presence and constant use can indicate signs of environmental degradation and wood-resource overexploitation. Ethnographic fireplaces have thus been intensively investigated in recent years in order specifically to identify signatures of dung (Portillo *et al.* 2017) or with the aim of discriminating various fuel sources (Friesem *et al.* 2017; Gur-Arieh *et al.* 2013; Lancelotti *et al.* 2017). All of these studies have highlighted the potential of phytoliths, as part of a wider set of proxies and with the right statistical treatment of data, for the identification of fireplaces and fuels, including fuels alternative to wood. Lastly, a few studies have concentrated on the analysis of construction materials, such as mud bricks (Friesem *et al.* 2014; Jenkins *et al.* 2017), to be able to distinguish between the signature left by their degradation and that of other intentional human activities.

#### **Environmental reconstructions and past land use**

Phytoliths have been successfully used as a proxy for reconstructing Quaternary vegetations, especially in depositional environments where other organic proxies are poorly preserved, such as alluvial deposits and soils (e.g. Bremond *et al.* 2017; Calegari *et al.* 2017; McMichael *et al.* 2013; Wallis 2001) and rocks (e.g. Strömberg *et al.* 2007). Phytolith assemblages from ancient superficial sediments reflect deposition from local vegetation and therefore local climatic characteristics, making it possible to use them to infer palaeoclimate and palaeoenvironments. However, precise assessment of past environments might be hampered by pre- and post-depositional processes that tend to alter the original plant community production. A diverse set of approaches supported by multivariate statistical methods, such as phytolith indexes (Bremond *et al.* 2005; 2008) and modern analogues analysis (Watling *et al.* 2016), were recently developed partly to solve this problem. The application of these qualitative/quantitative techniques has made it possible to determine which vegetation and environmental factors are dominant in influencing phytolith type distributions and to identify these parameters in the fossil phytolith assemblages on the basis of modern assemblages.

Earth system models help in understanding the earth system as a whole and the drivers of change and assist in envisaging our future. A major research question that cross-cuts the social, biological and physical sciences is to understand the scope of early human land use, the resultant changes in land cover and the consequent feedbacks to climate and human cultural systems during the Holocene and Anthropocene. There remains disagreement over the forms,

scope and intensity of prehistoric land use and the degree to which early anthropogenic land-cover change affected the global climate system. Researchers agree that the intensity and extent of human land use increased during the Holocene, when hunter-gatherer societies gave way to early pastoral and agricultural societies, which in turn increased in complexity. These effects of human land use on terrestrial ecosystems were profound at local to regional scales, but there is uncertainty about how important they were at global scale, and this uncertainty is fostered by the lack of high-quality data-based syntheses of global land use and anthropogenic land-cover change for the last 12,000 years. Phytoliths have been useful in extending on- and off-site high-quality datasets to supply more refined synthesis of land use in areas such as understanding the irrigation of crops (Madella *et al.* 2009), arable land (Golyeva & Svirida 2017), past agricultural systems (Meister *et al.* 2017) and forest management (Levin & Ayres 2017; Levis *et al.* 2017; Nogué *et al.* 2017).

### Final remarks

Phytoliths were observed, as part of mineral particles produced by plant tissues, more than 340 years ago, but it was Struve who pioneered the first scientific study in 1835. Research on phytoliths has seen various moments of interest, such as the early works on plant studies and (palaeo)ecology, but it was within archaeology that phytoliths gained momentum and widespread acknowledgement. This ‘popularity’ originates in the new avenues opened by phytoliths to investigate central archaeological questions, with the possibility of identifying previously unrecognizable (or difficult to discern) plants in the archaeological record, as well as human activities (e.g. crop processing). The development and refinement of phytolith systematics and crop identification via a double morphotypic and morphometric approach were major endeavours that stemmed from archaeology. Future advances should look at augmenting the comparative collections available together with their accessibility to researchers and refining the field-sampling approach and laboratory processing to further standardization, and push on the ethnoarchaeology and experimental archaeology work to provide a framework for a better understanding of the relationship between human activities and phytolith signatures.

### Acknowledgements

Martin, during his career as an archaeobotanist, has always been a visionary in the field and often engaged

with new developments and research strands. The same happened with phytolith research when he supported both of us working in this new area, and he happily embarked on helping develop what came to be the next generation of archaeobotanical proxies. During our doctoral research, he was a constant optimist, even when we could not see ‘the light’ of our work, as well as the instigator of many parties, including those with dance lessons!

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