Who are the winners and losers of Good **Data Practices?**

Catriona J MacCallum

Cambridge Data Week 23rd November 2020









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The Value of Data (winners)

1 Fconomic

 a. Human Genome Project (HGP) US investment of \$3.8 billion, helped drive \$796 billion in economic impact & \$244 billion in total personal income

1. Societal

- a. Increases trust
- b. Public Health e.g. COVID 19
- c. SDGs

Science itself

- a. Speeds up innovation
- b. Reduces publication bias
- c. Increases integrity & rigour reproducibility
- d. Increases accountability













































As open as possible and as closed as necessary

In the humanities, we all use research data, although we may not be aware of it. It is like in the case of Monsieur Jourdain, the title character of Molière's Le Bourgeois gentilhomme, who learnt, to his great satisfaction, that unwittingly he had been speaking prose all his life. With research data in the humanities it is exactly the same: you are using it, even if you don't know it, and once you realise it, it will affect your research workflow forever.

historical artefacts
digital (incl. digitised) documents
Images (2D or 3D)
sound and video recordings
archaeological finds,

Medieval manuscripts
poetry texts
social media posts,
paintings
scans of architecture
recordings of a theatre performance

XML mark-up (people, objects and locations)

Footnotes or critical commentary

descriptive metadata
(e.g. contributors, title, publisher, place, date, number of pages),

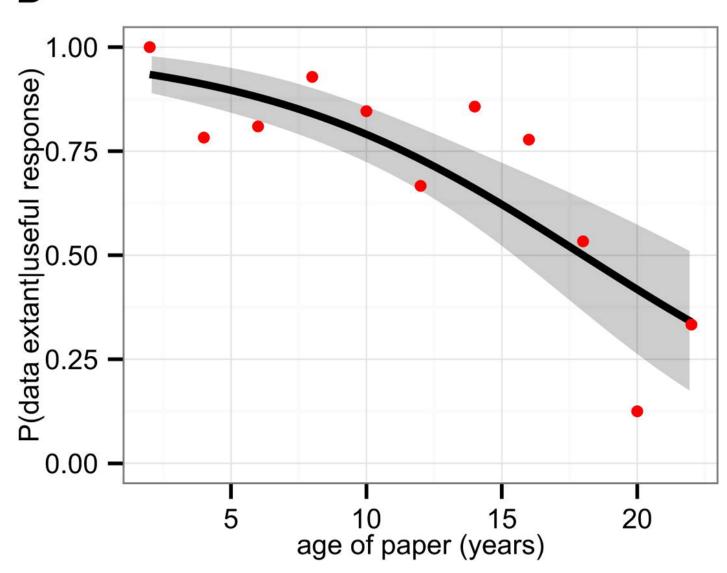
data in the humanities are also an effect of operationalisation and interpretive processes

all materials and assets scholars collect, generate and use during all stages of the research cycle





The problem: data erosion



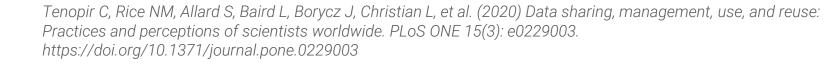
Probability of finding the data associated with a paper declined by 17% every year



Table 8. "The following statements relate to your views on the use of scientific research data. Tell us how much you agree with each statement".

Answer	n (%)
Lack of access to data generated by other researchers or institutions is a major impediment to progress in science.	1777 (74.6%)
Lack of access to data generated by other researchers or institutions has restricted my ability to answer scientific questions.	1770 (50.5%)
Data may be misinterpreted due to complexity of the data.	1769 (78.7%)
Data may be misinterpreted due to poor quality of the data.	1764 (78.6%)
Data may be used in other ways than intended.	1765 (75.4%)

https://doi.org/10.1371/journal.pone.0229003.t008







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Health · **Second Opinion**

'We're opening everything': Scientists share coronavirus data in unprecedented way to

contain, treat disease



[They say] we're opening everything because it's important that we advance things fast. Well, the flip side of this argument is that your normal behaviour is to put barriers to science

"It's a temporary glimpse of a world where science is openly shared. But the measures also raise questions about the way scienceas-usual is practised."

> "...the move to speed up publication and share research is a tacit admission that businessas-usual in research slows down science"

> > Kelly Crowe · CBC News

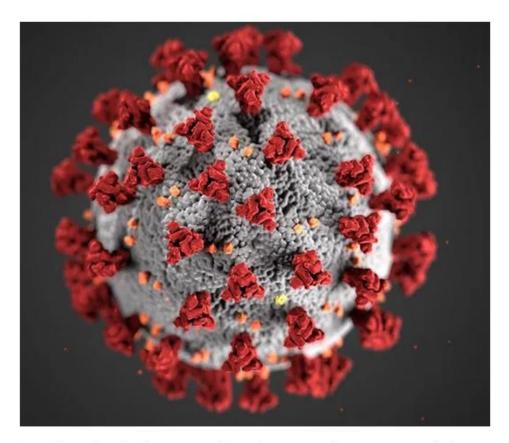
Professor Vincent Larivière, University of Montreal



Retraction Watch

Tracking retractions as a window into the scientific process

Lancet, NEJM retract into the scientific procession controversial COVID-19 studies based on Surgisphere data



Two days after issuing expressions of concern about controversial papers on Covid-19, *The Lancet* and the New England Journal of Medicine have retracted the articles because a number of the authors were not granted access to the underlying data.

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Coronavirus outbreak

The Lancet changes editorial policy after hydroxychloroquine Covid study retraction

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New policy comes after serious quality control questions were raised about the data relied on by a study in the medical journal



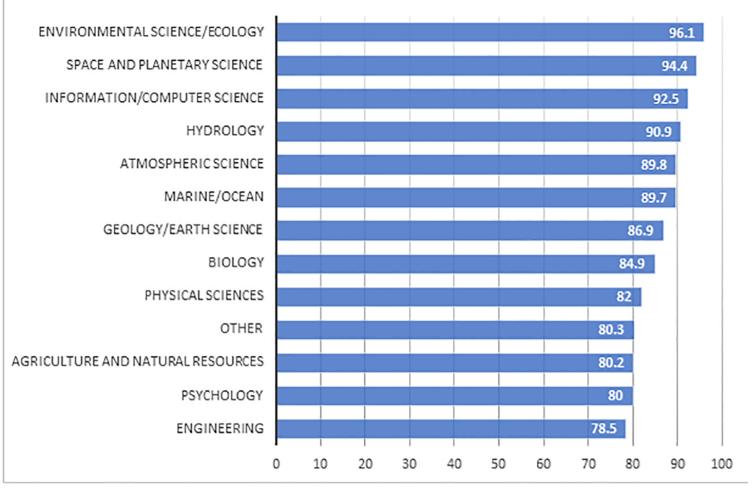
"One of the questions raised by the publication of the Surgisphere paper was how the paper passed the peerreview process."



▲ The Lancet has changed its editorial policy after publishing a study in May which concluded that Covid-19 patients who received the drug hydroxychloroquine were dying at higher rates. But figures on the number of deaths and patients in hospital cited by the authors did not match up with official government and health department data. Photograph: George Frey/Reuters

One of the world's leading medical journals, the Lancet, has reformed its editorial policies following a shocking case of apparent research misconduct holving the study of hydroxychloroquine as a treatment for Covid-19.

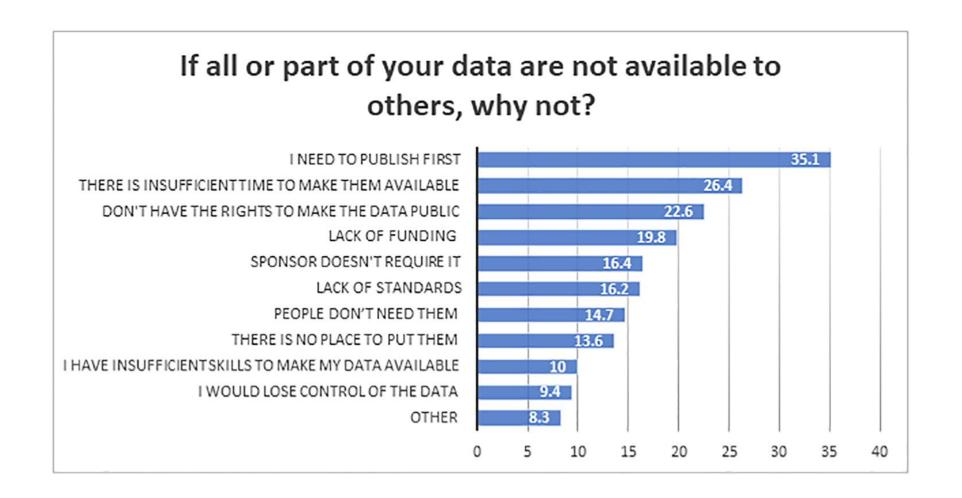
I would be willing to share data across a broad group of researchers (%).















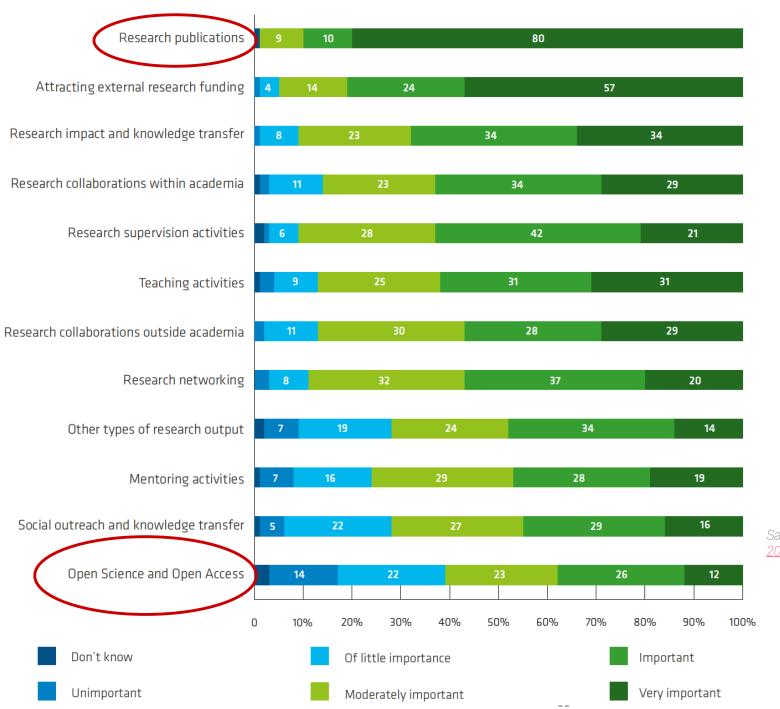


Figure 9 – Importance of academic activities for research careers

Based on survey question 7, ranking question (cf. Annex 1). Number of respondents: 191-195/197

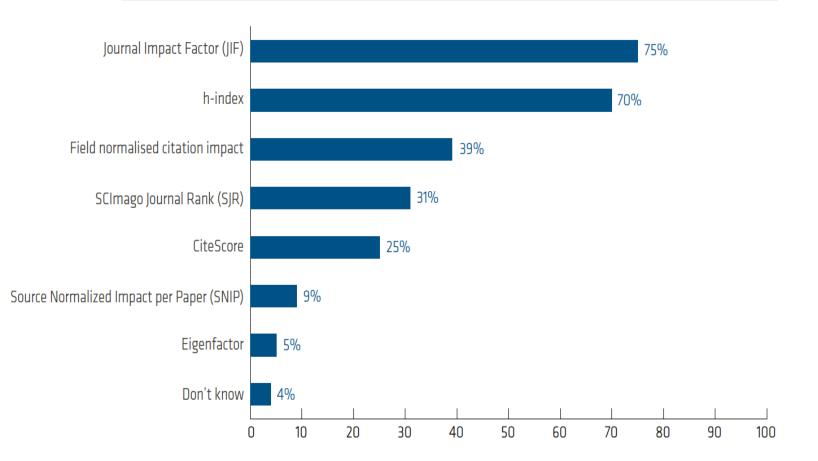
Saenen, Bregt, et al. 'Research Assessment in the Transition to Open Science: 2019 EUA Open Science and Access Survey Results',





Figure 11 – Publication metrics used for research careers

Based on survey question 8a, multiple-choice (cf. Annex 1). Number of respondents: 185/186



indicate a stark divide
between the research
assessment practices that
universities consider
important and those that they
consider unimportant.

Open Science and Access indicators, Altmetrics and metrics measuring academic attention and uptake are considered of little importance or outright unimportant by about half of the survey respondents.

Saenen, Bregt, et al. 'Research Assessment in the Transition to Open Science: 2019 EUA Open Science and Access Survey Results'.





Researchers tell me they feel pressure to publish in particular venues in order to gain the respect of their peers, which wrongly suggests that where you publish something is more important than what you say. That just can't be right.

People talk to me about "REF-able publications" – a total distortion of the value of research and a constraint on the diversity of research objectives.

the processes researchers use to communicate with each other have now become so ingrained into the recognition and reward system that publication and citation seem to have become ends in themselves.

Parliamentary Under Secretary of State (Minister for Science, Research and Innovation)

Responsibilities include:

- science and research
- innovation
- intellectual property
- space
- technology



Despite the rich variety of outputs that can come from research, over **97% of outputs submitted to REF 2014 were text-based**. Just think about that.

The REF ruleset, implemented in a risk-averse way, has become the default tool for many university leaders to effect institutional change

This could be **having a profound effect on the very integrity of science itself** – leading to questionable
research practices and evidence of a growing crisis in
the reproducibility of research

Solloway, Amanda. 'Science Minister on "The Research Landscape". GOV.UK, 20 October 2020. https://www.gov.uk/government/speeches/science-minister-on-the-research-landscape

4 in 10 surveyed researchers believe that their workplace puts more value on metrics than on research quality.





NATURE | COMMENT

Bibliometrics: The Leiden Manifesto for research metrics

Diana Hicks, Paul Wouters, Ludo Waltman, Sarah de Rijcke & Ismael Rafols

22 April 2015

Use these ten principles to guide research evaluation, urge Diana Hicks, Paul Wouters and colleagues.



Careers · Research management · Publishing

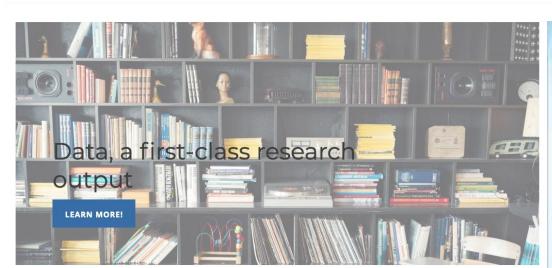






ENGAGE

BLOG



IT'S TIME TO MAKE YOUR

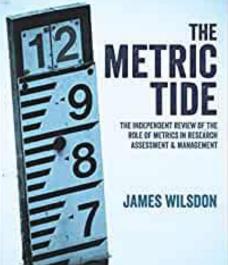
PUBLISHERS: MAKE YOUR

COUNTER CODE OF PRACTICE FOR RESEARCH DATA









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15











Responsible Research Assessment - a virtual conference from the Global Research Council

November 23 - 27, 2020

GLOBAL RESEARCH COUNCIL





UKRI Champion for International and GRC Governing Board member



Dr. Molapo Qhobela CEO of NRF South Africa and Chair of the **GRC Governing Board**

A welcome from the GRC

"The GRC is dedicated to promoting the sharing of data and best practices for high-quality collaboration among funding agencies worldwide. It recognises the need to build on its previous work, such as the principles on peer/merit review, for developing a shared understanding of the topic of responsible research assessment amongst funders. With the COVID-19 pandemic putting a spotlight on the importance of international collaboration in scientific research and the social impact of research becoming more evident, there is now renewed urgency for funders to come together and reconsider how research is assessed and evaluated. This conference presents a great opportunity for research funders to come together, work together, learn and look to the future. We look forward to engaged discussions over the week."

What makes Open Science Transformative?



99 222 Catriona J MacCallum, 2008 CC BY

Open INFRASTRUCTURE

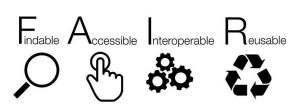


https://upload.wikimedia.org/wikipedia/commons/e/e4/Sectiongang_hungerford1917.jp



Ingy the Wingy CC BY

https://www.flickr.com/photos/ingythewingy/4793928695/in/photostream,



FAIR

Findable

The first step in (re)using data is to find them. **Metadata** and data should be easy to find for both humans and computers. **Machine-readable metadata are essential for automatic discovery of datasets and services**, so this is an essential component of the <u>FAIRification process</u>.

Accessible

Once the user finds the required data, she/he needs to know how can they be accessed, possibly including authentication and authorisation.

Interoperable

The data usually need to be integrated with other data. In addition, the data need to interoperate with applications or workflows for analysis, storage, and processing.

Reusable

The ultimate goal of FAIR is to optimise the reuse of data.

To achieve this, metadata and data should be well-described so that they can be replicated and/or combined

Wilding of Fering Settling sell Dumontier, IJsbrand Jan Aalbersberg, Gabrielle Appleton, Myles Axton, Arie Baak, Niklas Blomberg, et al. 'The FAIR Guiding Principles for Scientific Data Management and Stewardship'. Scientific Data, 15 March 2016. https://doi.org/10.1038/sdata.2016.18.



CARE

Collective Benefit

Data ecosystems shall be designed and function in ways that enable Indigenous Peoples to derive benefit from the data.

Authority to Control

Indigenous Peoples' rights and interests in Indigenous data must be recognised and their authority to control such data be empowered. Indigenous data governance enables Indigenous Peoples and governing bodies to determine how Indigenous Peoples, as well as Indigenous lands, territories, resources, knowledges and geographical indicators, are represented and identified within data

Responsibility

Those working with Indigenous data have a responsibility to share how those data are used to support Indigenous Peoples' self-determination and collective benefit. Accountability requires meaningful and openly available evidence of these efforts and the benefits accruing to Indigenous Peoples.

Fthics

Indigenous Peoples' rights and wellbeing should be the primary concern at all stages of the data life cycle and across the data ecosystem research bata Alliance international indigenous bata sovereignty interest Group.

(September 2019). "CARE Principles for Indigenous Data Governance." The Global Indigenous Data Alliance. GIDA-global.org

Existing principles within the open data movement (e.g. FAIR: findable, accessible, interoperable, reusable) primarily focus on characteristics of data that will facilitate increased data sharing among entities while ignoring power differentials and historical contexts.







Infrastructure: Persistent Identifiers & Metadata

• PIDS:

- A PID is a globally unique, persistent and resolvable identifier that is based
- on an openly identified schema.
- PIDs create stable links for objects, and increasingly are the preferred method for citation and reuse, enabling consistent attribution and tracking.
- PIDs can identify digitalobjects (documents, data, software), physicalobjects (people, samples) and conceptual entities(organisations, projects).
- PIDs facilitate citation and increased findability
- links are being created between publications and their associated datasets (bidirectional linking).

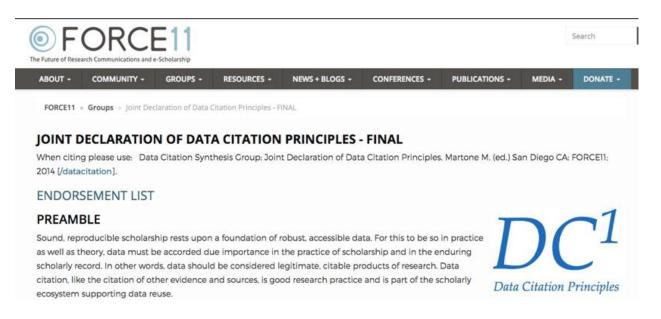
Metadata:

- 'data about data',
- a series of fields that describe data and other research objects in consistent and standardised ways
- standards created by different research communities and disciplines, to provide optimal, tailored ways of describing data - a formal, shared, schematic way of representing knowledge through common language









https://www.force11.org/group/joint-declaration-data-citation-principles-final

Minimum Requirements

- author names, repository name, date + persistent unique identifier (such as DOI or URI)
- citation should link to the dataset directly via the persistent identifier
- comprehensive, machine-readable landing pages for deposited data
- guidance to authors to include data in references

Credit: data citation

Your article is cited more (up to 25.36% (± 1.07%), with articles that have a Data Availability

Statement that includes a link to a repository via a URL or other permanent identifier

Colavizza, Giovanni, Iain Hrynaszkiewicz, Isla Staden, Kirstie Whitaker, and Barbara McGillivray. 'The Citation Advantage of Linking Publications to Research Data'. PLOS ONE 15, no. 4 (22 April 2020): e0230416. https://doi.org/10.1371/journal.pone.0230416.



The Responsibility of Publishers

- The infrastructure that enables access, reuse and discovery of scholarly knowledge is as important for discovery as the text of published articles or any other research output.
- Our role is not to be a gatekeeper to the exchange of scholarly knowledge but a facilitator & service provider (rigour, speed, integrity).
- Can publishers, whether commercial or not-for profit, intrinsically align their interests
 with those of the research community who want to harness 21st technology, for the
 benefit of science and society
- Can we be as open minded and collaborative in our approach to publishing and scholarly comms as we ask others to be in theirs?

