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Abstract: Obesity in women of child-bearing age is a growing problem in developed and developing countries. Evidence from human studies indicates that maternal BMI correlates with offspring adiposity from an early age and predisposes to metabolic disease in later life. Thus the early life environment is an attractive target for intervention to improve public health. Animal models have been used to investigate the specific physiological outcomes and mechanisms of developmental programming that result from exposure to maternal obesity in utero. From this research, targeted intervention strategies can be designed. In this review we summarise recent progress in this field, with a focus on cardiometabolic disease and central control of appetite and behaviour. We highlight key factors that may mediate programming by maternal obesity, including leptin, insulin and ghrelin. Finally, we explore potential lifestyle and pharmacological interventions in humans and the current state of evidence from animal models.



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Dr. Lique Coolen
By email

23 June 2015

Dear Dr. Coolen,

We thank you for forwarding the requested revisions of our submitted manuscript **“Developmental programming by maternal obesity in 2015: outcomes, mechanisms and potential interventions”** for inclusion in the Hormones & Behaviour Special Issue *“SBN invited contributions to the second joint SBN and ICN meeting, 2014”*. We have addressed the reviewer’s comments and include a full description in the response to reviewer.

Please find the revised manuscript and associated documents uploaded via the Elsevier Editorial System. We confirm again that this manuscript has not been published nor submitted elsewhere.

Please do not hesitate to contact us using the details below for any further information.

Yours sincerely,

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23 June 2015

Dear Sir/Madam,

We thank you for your review of our submitted manuscript **“Developmental programming by maternal obesity in 2015: outcomes, mechanisms and potential interventions”** for inclusion in the Hormones & Behaviour Special Issue *“SBN invited contributions to the second joint SBN and ICN meeting, 2014”*. Please find below your comments addressed point by point:

1. *While the review discusses the strengths of animal models, it does not address the limitations of the models.*

We agree that this would be a valuable addition to the review. A more complete discussion of the limitations and strengths of different species as models for developmental programming studies has been added in lines 76-101 to address this point.

2. *Authors need to provide a comparison of the developmental ontogeny of organ system of relevance in animal models with humans. Inclusion of schematic comparing human and animal models being discussed would be helpful in this regard.*

Although we agree schematics of the developmental ontogeny of organ systems of relevance in animal models with humans would be helpful, we feel that inclusion of this would be a whole review in itself. It of course differs for different organ systems and even within one organ system different components of it differ. We therefore believe that this is too big a task to incorporate within the context of the current review. We have added comments in specific sections (as described in response to point 3) where differences may be particularly relevant so that the reader is at least aware of this complexity.

3. *Authors need to emphasize the importance of choosing models that are appropriate in terms of the organ system of translational relevance. For instance if differentiation of organ systems occur postnatally in given species as opposed to prenatally in humans, the mediation can also involve effects via the mother in case of humans as opposed to direct effect in the animal models. These caveats need to be addressed.*

As we have discussed above, a thorough comparison of the developmental ontogenies of key organs in humans and the common animal models used in programming studies would be a welcome addition to the literature. However, we feel that this is beyond the scope of this review. We recognise that exploration of these issues would improve this review and to address this we have added discussion of the developmental timings for relevant organs and systems throughout the

1 review, with a particular focus on the limitations of translating mechanistic studies in rodents to
2 designing interventions in humans. In addition, discussion of the merits of different animal models
3 and their relevance as models for specific tissues is included. Namely, additions have been made in
4 lines 76-101, 288-291, 295-297, 332-339, 360-364 and 381.

5
6 4. *In addition to dietary and exercise interventions authors should discuss briefly potential effects*
7 *of pharmacological interventions (e.g. insulin sensitizing agents) on offspring health.*
8

9 We concur that this would be a helpful addition to the review and as such a section discussing the
10 current trials using metformin, an insulin sensitizer, has been added (lines 410-428).
11

12
13 *Minor comments:*

14
15 *Line 84: Sentence is incomplete*

16
17 It is not clear to us how the sentence (now in line 108) is incomplete and we would welcome further
18 clarification here.
19

20
21 *Line 218 - ventromedial hypothalamus has been previously abbreviated to VMH.*

22
23 This has been amended.
24

25
26 Please do not hesitate to contact us using the details below for any further information.
27

28
29 Yours sincerely,

30
31 Naomi Penfold (co-author)

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Developmental programming by maternal obesity in 2015: outcomes, mechanisms and potential interventions

Authors: Naomi C. Penfold, Susan E. Ozanne

Highlights

- Leptin is a potential mediator of cardiovascular programming by maternal obesity.
- Insulin contributes to development of central control of glucose homeostasis.
- Ghrelin has neurodevelopmental actions in the rodent hypothalamus.
- Maternal obesity affects neural mechanisms of reward, motivation and learning.
- Dietary, exercise and pharmacological interventions aim to improve maternal metabolic state.

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1 *Developmental programming by maternal obesity in 2015: outcomes, mechanisms*

2 *and potential interventions*

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8 Abstract

9 Obesity in women of child-bearing age is a growing problem in developed and developing countries.
10 Evidence from human studies indicates that maternal BMI correlates with offspring adiposity from
11 an early age and predisposes to metabolic disease in later life. Thus the early life environment is an
12 attractive target for intervention to improve public health. Animal models have been used to
13 investigate the specific physiological outcomes and mechanisms of developmental programming
14 that result from exposure to maternal obesity in utero. From this research, targeted intervention
15 strategies can be designed. In this review we summarise recent progress in this field, with a focus on
16 cardiometabolic disease and central control of appetite and behaviour. We highlight key factors that
17 may mediate programming by maternal obesity, including leptin, insulin and ghrelin. Finally, we
18 explore potential lifestyle and pharmacological interventions in humans and the current state of
19 evidence from animal models.

20

21 *Keywords*

22 Developmental programming;
23 Maternal obesity;
24 Leptin;
25 Insulin;
26 Ghrelin;
27 Appetite;
28 Reward;
29 Glucose homeostasis;
30 Intervention;
31 Obesity

32 Introduction

33 The importance of normal fetal growth was first highlighted by associations between low birth
34 weight and the increased risk of heart disease and type 2 diabetes in adulthood (Barker et al., 1989;
35 Hales et al., 1991). Subsequent studies of maternal under-nutrition and, more recently, maternal
36 over-nutrition have demonstrated that the maternal nutritional environment and fetal and neonatal
37 growth, collectively known as the first 1000 days of life, are key determinants of health in the next
38 generation (de Rooij et al., 2006; Lumey and Stein, 1997; Ravelli et al., 1999; Ravelli et al., 1976). In
39 humans, maternal obesity is associated with low and high birth weight (Cedergren, 2004; Gaudet et
40 al., 2014) and increased risk of obesity and metabolic dysfunction in the offspring both in childhood
41 (Boney et al., 2005; Whitaker, 2004) as well as in adulthood (Brisbois et al., 2012; Cooper et al.,
42 2010). Maternal obesity is also associated with increased risk of offspring cardiovascular disease
43 (Drake and Reynolds, 2010), type 2 diabetes (Berends and Ozanne, 2012) and neurodevelopmental
44 and psychiatric disorders, including ADHD, autism, schizophrenia and mood disorders (Mehta et al.,
45 2014; Rodriguez, 2010).

46 The prevalence of overweight and obesity has soared in the last 30 years globally (Ng et al., 2014).
47 Worryingly, the number of children classified as overweight or obese has increased 150% worldwide
48 in this timeframe (Ng et al., 2014) and the rate of obesity in women of child-bearing age is still rising
49 (Fisher et al., 2013). Whilst genetic factors that predispose to obesity in an obesogenic environment,
50 have likely contributed to the current global obesity epidemic, the short timescale of this increase
51 implicates non-genetic factors including the impact of the intrauterine and neonatal environment on
52 adult health and disease (McAllister et al., 2009). It is vital that we understand the mechanisms
53 underlying such developmental programming of disease by maternal obesity in order to develop
54 effective interventions to help mitigate the current rise in obesity, cardiovascular and metabolic
55 disease as well as mental health disorders. Bariatric surgery to induce weight loss lowers the risk of
56 gestational diabetes mellitus (GDM), fetal macrosomia and the rate of obesity in the offspring as
57 well as improving offspring insulin sensitivity, demonstrating that improving the maternal metabolic

58 state prior to pregnancy is an effective intervention that improves the health of both mother and
59 child (Kral et al., 2006; Shai et al., 2014; Smith et al., 2009). However, bariatric surgery is intrusive,
60 high-risk, costly and can cause nutrient deficiency, the latter of which led to severe neural defects in
61 some children conceived very soon after surgery (Pelizzo et al., 2014). A clearer understanding of the
62 mechanisms mediating the increased risk of metabolic disease in offspring of obese women is
63 required in order to develop less intrusive, better targeted interventions. This review will explore
64 recent progress made in the understanding of the developmental programming by maternal obesity
65 and potential avenues for intervention.

66

67 [Animal models have revealed mechanisms underlying programming by maternal](#) 68 [obesity](#)

69 Animal studies have confirmed that maternal obesity programs metabolic syndrome-like outcomes
70 in the offspring including impaired insulin action and glucose homeostasis (Martin-Gronert et al.,
71 2010; Samuelsson et al., 2008; Shankar et al., 2010; Shelley et al., 2009), hypertension and
72 cardiovascular dysfunction (Blackmore et al., 2014; Fernandez-Twinn et al., 2012; Samuelsson et al.,
73 2008), as well as increased adiposity (Bayol et al., 2008; Samuelsson et al., 2008; Song et al., 2015)
74 and an increased susceptibility to diet-induced obesity (DIO) (Bayol et al., 2007; Howie et al., 2009;
75 Kirk et al., 2009; Nivoit et al., 2009; Samuelsson et al., 2008; Shankar et al., 2008; Torrens et al.,
76 2012). The choice of animal model is often a compromise between practicality of the research and
77 translatability to humans. Whilst non-human primates (NHPs) share the closest resemblance to
78 human developmental trajectories and pregnancies, they have a long gestation length and time to
79 maturity of the offspring, leading to high research costs. Sheep and pigs are used due to their
80 similarities in placental structure and function to humans, whilst rabbits are a medium-sized
81 mammal with intermediary similarities and differences to humans. These larger mammals are
82 conducive to repeated sampling of blood and tissue, allowing for longitudinal studies and within-
83 subject analysis. Models with larger litter sizes, such as pigs and rodents, allow for the easier

84 investigation of sex differences in programming. Rodent models have been used extensively due to
85 their short gestation (three weeks) and maturity intervals (five weeks to puberty) and the ease with
86 which to generate a well-powered experiment of animals of ages across the lifecourse. Furthermore,
87 they enable genetic engineering to elucidate mechanisms. A disadvantage is that these smaller
88 mammals are limited to one sampling point, precluding true longitudinal analysis. In addition, there
89 are several differences in developmental timings of key tissues between rodents and humans. An
90 overarching observation is that the third trimester in humans is roughly equivalent to the first
91 postnatal weeks in the rodent. Notably adipose tissue develops from early in gestation in humans
92 whereas subcutaneous and visceral depots develop from late gestation and early postnatal life,
93 respectively, in rodents (Rosen and Spiegelman, 2014). Cardiomyocyte proliferation and growth is
94 mostly complete by birth in the human and sheep (Morrison et al., 2007), whereas cardiomyocyte
95 division ends at postnatal day 3 to 4 in the rat, with growth occurring over the first two weeks of life
96 (Li et al., 1996). In addition, the development of key intra-hypothalamic connections occurs during
97 the second postnatal week in rodents but these connections are established by birth in humans and
98 NHPs ((Bouret, 2012; Coupe and Bouret, 2013; Liu et al., 2013). The choice of animal model will
99 affect the translatability of the results, however the outcomes seen in these models often
100 recapitulate phenotypes reported in humans, signifying the validity of the use of a range of animals
101 to investigate the mechanisms underlying developmental programming.

102

103 *Insulin and glucose homeostasis*

104 Maternal obesity programs offspring adiposity, decreased glucose tolerance and impaired insulin
105 sensitivity (Fernandez-Twinn et al., 2012; Samuelsson et al., 2008; Yan et al., 2011). The mechanisms
106 underlying programming of insulin resistance and glucose homeostasis by maternal obesity include
107 alterations in peripheral insulin signalling and insulin secretion [reviewed in (Berends and Ozanne,
108 2012) and (Duque-Guimaraes and Ozanne, 2013)]. Adult offspring exposed to maternal obesity are
109 hyperinsulinaemic and have alterations in the expression of key insulin signalling and glucose

110 handling molecules in skeletal muscle, liver and adipose tissue that indicate a predisposition for
111 insulin resistance and impaired glucose tolerance (Martin-Gronert et al., 2010; Nicholas et al., 2013a;
112 Rattanatrav et al., 2010; Shelley et al., 2009; Yan et al., 2011). At least some of the programming of
113 insulin signalling protein expression appears to occur through post-transcriptional mechanisms via
114 changes in microRNA (miR-) levels. Maternal obesity at conception in sheep increases hepatic miR-
115 29b, miR-130 and miR-107 levels (Nicholas et al., 2013b). Increased miR-126 expression in adipose
116 tissue of mice exposed to maternal obesity is associated with down-regulated expression of target
117 genes involved in insulin signalling including insulin receptor substrate 1 (IRS-1) (Fernandez-Twinn et
118 al., 2014). These programmed changes in IRS-1 and miR-126 were maintained following
119 differentiation of pre-adipocytes *in vitro*, indicating that maternal obesity programs altered insulin
120 signalling in the offspring adipose tissue in a cell-autonomous fashion.

121 In addition to peripheral insulin signalling, recent evidence suggests that the central control of
122 glucose homeostasis is vulnerable to the hyperinsulinaemic obese maternal environment.

123 Genetically-induced maternal hyperinsulinaemia and insulin resistance is associated with disrupted
124 glucose homeostasis and hyperinsulinaemia in male wild-type offspring despite normal body weight
125 and glycaemia in the mother (Isganaitis et al., 2014). Furthermore, a recent study demonstrated that
126 genetic abrogation of insulin signalling specifically in pro-opiomelanocortin (POMC) neurons of
127 offspring exposed to a maternal high-fat diet (HFD) restores POMC innervation of pre-autonomic
128 paraventricular nucleus (PVH) neurons and normalises the impaired glucose tolerance otherwise
129 seen (Vogt et al., 2014). This is associated with an improvement in pancreatic beta cell glucose-
130 stimulated insulin secretion and parasympathetic innervation of beta cells.

131 Maternal hyperinsulinaemia with insulin resistance might program altered offspring development
132 via the concomitant maternal hyperglycaemia, since insulin does not cross the placenta whereas
133 glucose does (Dabelea, 2007). In humans, impaired glucose tolerance during pregnancy is often
134 associated with increased birth weight and increased risk of childhood obesity (Catalano et al., 2003;
135 Cottrell and Ozanne, 2007; Hillier et al., 2007; Liu et al., 2014; Plagemann et al., 2002). Treating GDM

136 mothers to lower their blood glucose reduces this risk, particularly in male offspring (Bahado-Singh
137 et al., 2012; Gillman et al., 2010). In a recent study in mice, genetically-induced maternal
138 hyperglycaemia is associated with increased body weight and impaired glucose tolerance in wild-
139 type male offspring (Nadif et al., 2015). Therefore control of glycaemia during pregnancy is not only
140 important for maternal health but also for the long term health of the offspring.

141

142 *Cardiovascular system*

143 Hypertension and cardiac hypertrophy are common phenotypes observed in offspring exposed to
144 maternal obesity (Fernandez-Twinn et al., 2012; Samuelsson et al., 2008). Studies in rabbits and rats
145 have suggested that changes in sympathetic tone may be an important mediator of these effects.
146 Maternal HFD in rabbits increases renal sympathetic nerve activity in the offspring (Prior et al.,
147 2014). Likewise studies suggest that maternal obesity in rats induces hypertension in the offspring
148 via increased sympathetic drive in early development (Samuelsson et al., 2010), which may be
149 mediated by altered early life leptin signalling.

150 Leptin action in the nucleus of the solitary tract (NTS) and the ventromedial nucleus of the
151 hypothalamus (VMH) increases sympathetic outflow via the renal nerve (Li et al., 2013; Mark et al.,
152 2009; Marsh et al., 2003). Umbilical cord leptin levels are elevated in obese pregnancies (Ferretti et
153 al., 2014; Karakosta et al., 2013; Walsh et al., 2014) and neonatal circulating leptin is elevated in
154 offspring of obese mice (Samuelsson et al., 2008). Therefore, early life hyperleptinaemia may drive
155 sympathetic hyperstimulation in the developing renal-cardiovascular system, leading to
156 hypertension and cardiovascular dysfunction in adulthood (Briffa et al., 2014). Indeed, neonatal
157 leptin administration in rats results in cardiac hypertrophy and dysfunction in adulthood (Marques et
158 al., 2014b). In addition, rat offspring exposed to maternal obesity show an exaggerated hypertensive
159 response to peripheral leptin administration in adulthood (Samuelsson et al., 2010). This is unlikely
160 to be due to impaired central leptin signalling, as maternal obesity-mediated programming of leptin
161 resistance is hypothalamic nuclei-specific (Kirk et al., 2009) and diet-induced obesity in adulthood

162 does not impair central leptin-mediated sympathetic activity via the renal nerve (Rahmouni et al.,
163 2005). Therefore it has been postulated that the hyperleptinaemia seen in adult offspring of
164 maternal obesity animal models drives the accompanying hypertension via the concomitant increase
165 in central activation of the sympathetic nervous system (Samuelsson et al., 2010; Simonds et al.,
166 2014). Notably, it has recently been shown that the increased risk of hypertension in obese
167 individuals is dependent on functional leptin signalling (Simonds et al., 2014).

168 Our studies in a mouse model of maternal DIO have shown that male offspring of obese dams
169 display cardiac hypertrophy associated with hyperinsulinaemia and increased oxidative stress prior
170 to a change in body weight or adiposity, indicating that the programming of increased risk of
171 cardiovascular disease is independent from mechanisms relating to obesity (Blackmore et al., 2014;
172 Fernandez-Twinn et al., 2012). Furthermore, frank cardiac dysfunction with increased sympathetic
173 dominance akin to the early stages of heart failure is evident in these mice by young adulthood
174 (Blackmore et al., 2014). This dysfunction may relate to pathological cardiac hypertrophy and cardiac
175 stress as early as weaning. Oxidative stress, inflammation and epigenetic mechanisms may all be
176 involved in the programming of cardiovascular dysfunction by maternal obesity (Blackmore and
177 Ozanne, 2013, 2014). Given that obesity itself increases the risk of heart disease, cardiac dysfunction
178 may be exaggerated in high-fat-fed offspring exposed to maternal obesity. Indeed, the combination
179 of maternal HFD and post-weaning exposure to HFD culminates in reduced vasorelaxation in both
180 mice and non-human primates (Fan et al., 2013; Torrens et al., 2012), with increased oxidative stress
181 in the femoral arteries of adult male offspring (Torrens et al., 2012).

182 In summary, early life exposure to hyperleptinaemia as a consequence of maternal obesity may drive
183 increased sympathetic tone leading to hypertension and accelerate the onset of cardiac hypertrophy
184 and heart failure.

185

186 *Ectopic lipid deposition*

187 Maternal obesity programs increased adiposity and adipose tissue function in the offspring via
188 alterations in adipocyte morphology and signalling (Alfaradhi and Ozanne, 2011; Benkalfat et al.,
189 2011; Murabayashi et al., 2013) as well as changes in food intake. As well as increased adiposity,
190 ectopic lipid deposition has also been observed in the liver and pancreas of offspring exposed to
191 maternal obesity (Alfaradhi et al., 2014; Oben et al., 2010a; Oben et al., 2010b), in association with
192 altered hepatic mRNA and protein expression profiles indicative of increased lipogenesis (Bruce et
193 al., 2009), elevated markers of oxidative damage (Alfaradhi et al., 2014; Bringhenti et al., 2014;
194 Torrens et al., 2012), inflammation, fibrosis and increased sympathetic nervous system activation
195 (Oben et al., 2010a). These results provide evidence for an increased risk of non-alcoholic fatty liver
196 and pancreas diseases (NAFLD and NAFPD, respectively) in offspring of obese mothers, a pathology
197 which commonly occurs in obesity when the normal capacity of white adipose tissue for lipid storage
198 has been exceeded. Recent evidence from a mouse model of maternal DIO suggests that the
199 predisposition for NAFPD in high-fat-fed offspring is associated with a programmed shift in the
200 cellular circadian clock (Carter et al., 2014). Perturbation in internal biological rhythms is a recent
201 addition to the list of offspring physiologies affected by maternal nutrition (Martin-Gronert and
202 Ozanne, 2013) and represents an exciting avenue for investigation, given the new understanding of
203 circadian biology in health and disease (Bailey et al., 2014).

204 Interestingly, recent evidence from a swine model of maternal obesity suggests that increased risk of
205 liver disease can be programmed transgenerationally, since early postnatal increases in adiposity
206 and markers of pediatric liver disease are found in male piglets of obese grandmothers (Gonzalez-
207 Bulnes et al., 2014).

208

209 *Central control of food intake: programming the hypothalamus*

210 The increased incidence of offspring obesity is frequently associated with hyperphagia in maternal
211 over-nutrition models (Bayol et al., 2007; Kirk et al., 2009; Long et al., 2011; Nivoit et al., 2009;

212 Samuelsson et al., 2008). This increased caloric intake is accompanied by alterations in hypothalamic
213 expression of key neuropeptides, their receptors and molecules involved in signalling by peripheral
214 factors (Chen and Morris, 2009; Chen et al., 2009; Ferezou-Viala et al., 2007; Gupta et al., 2009;
215 Morris and Chen, 2009; Page et al., 2009) as well as altered hypothalamic development (Chang et al.,
216 2008; Kirk et al., 2009). Alterations in gene expression may be due to epigenetic alterations such as
217 changes in DNA methylation within the gene promoters, as observed in offspring exposed to early
218 life over-nutrition (Plagemann et al., 2009; Plagemann et al., 2010). The impaired development of
219 hypothalamic circuitry in rodents is likely due to alterations in the hormonal environment in early
220 postnatal life. Insulin has been implicated in the programming of hypothalamic circuits in response
221 to maternal diabetes and maternal over-nutrition (Steculorum et al., 2013; Vogt et al., 2014).
222 Maternal hypoinsulinaemic hyperglycaemia increases the ratio of orexigenic neurons to anorexigenic
223 neurons in the neonatal arcuate nucleus (Arc) (Franke et al., 2005; Steculorum and Bouret, 2011b)
224 and impairs Arc-PVH Agouti-related peptide (AgRP) and POMC projections (Steculorum and Bouret,
225 2011b). These changes are associated with elevated circulating glucose, insulin and leptin in the
226 neonate and central leptin resistance, hyperphagia and obesity in adult life (Steculorum and Bouret,
227 2011b). In addition, maternal over-nutrition can alter the timing, amplitude of, and response to the
228 postnatal surge in neonatal leptin concentrations that is critical for the development of
229 hypothalamic circuitry (Ahima et al., 1998; Bouret et al., 2004a; Long et al., 2011; Toste et al., 2006).
230 Leptin promotes neurite outgrowth from the Arc during neonatal life (Bouret et al., 2012; Bouret et
231 al., 2004b) and abnormal neonatal leptin signalling impairs the formation of the Arc-derived
232 hypothalamic projections (Attig et al., 2008; Delahaye et al., 2008; Yura et al., 2005). It has recently
233 emerged that ghrelin also contributes to the early life programming of obesity. Neonatal ghrelin
234 administration increases Arc neuronal number and increases the ratio of orexigenic to anorexigenic
235 gene expression (Steculorum and Bouret, 2011a). Chronic postnatal ghrelin impairs the formation of
236 Arc projections in association with metabolic dysfunction and impaired leptin sensitivity in
237 adulthood (Steculorum et al., 2015). Neonatal over-nutrition, by reducing litter size and thus

238 increasing access to the mother's milk, predisposes offspring to hyperphagia and obesity in
239 adulthood (Collden et al., 2015; Plagemann et al., 1999). This is associated with decreased serum
240 ghrelin in neonates, due to a loss of the normal up-regulation of ghrelin mRNA in the neonatal
241 stomach, and with abrogation of ghrelin-induced gene expression in the Arc, potentially due to
242 impaired transport of ghrelin into the ventromedial hypothalamus (Collden et al., 2015). Impairment
243 of central ghrelin action in neonates increases Arc projection density and leads to obesity,
244 hyperglycaemia and impaired leptin sensitivity in adulthood (Steculorum et al., 2015).
245 Thus alteration of central insulin, leptin and ghrelin signalling in neonates exposed to maternal
246 obesity, with insulin resistance, hyperglycaemia and hyperleptinaemia, may underlie the
247 programming of altered hypothalamic development and subsequent metabolic dysfunction in the
248 adult offspring.

249

250 *Maternal obesity predisposes to diet-induced obesity: the role of the reward system*

251 Studies in rodents have demonstrated that offspring exposed to maternal obesity and/or HFD during
252 gestation and lactation are predisposed to a greater increase in adiposity and metabolic
253 dysregulation than those from control dams when the offspring themselves are challenged with a
254 HFD after weaning (Benkalfat et al., 2011; Howie et al., 2009; Page et al., 2009; Parente et al., 2008;
255 Rajia et al., 2010). Post-weaning exposure to a HFD further alters hypothalamic mRNA and protein
256 expression (Page et al., 2009; Rajia et al., 2010), which may mediate the increased caloric intake and
257 drive the increased adiposity. Alternatively, the increased propensity for DIO in offspring of obese
258 mothers may be mediated via programmed dysregulation of the central mechanisms involved in
259 palatable food intake: namely the mesocorticolimbic dopamine pathway from the ventral tegmental
260 area (VTA) to the nucleus accumbens (NAcc). Dopaminergic signalling in the NAcc is thought to
261 control incentive salience, or the motivated "wanting" of palatable foods, whilst opioidergic inputs
262 onto the same pathway are thought to signal the pleasure associated with eating tasty foods and so

263 influence food preferences or the “liking” of palatable foods (Blum et al., 2012; Eggecioglu et al.,
264 2011; Volkow et al., 2011). Connections between the reward system and the hypothalamus are
265 critical for the regulation of reward-related feeding (Dietrich et al., 2012; Leininger et al., 2011).
266 In humans and rodents, reward signalling is altered in obesity (Batterink et al., 2010; Burger and
267 Stice, 2011; Finger et al., 2012; Johnson and Kenny, 2010; Shin and Berthoud, 2011; Stoeckel et al.,
268 2008), due at least in part to chronic HFD-mediated epigenetic dysregulation of key dopaminergic
269 and opioidergic signalling molecules (Vucetic et al., 2012; Vucetic et al., 2011). In addition,
270 dysregulated reward signalling may predispose to diet-induced obesity (Blum et al., 2014; Volkow et
271 al., 2008). Thus, the central reward system may be vulnerable to early life exposure to maternal
272 obesity and programmed alterations may underlie the increased propensity for obesity when
273 offspring are exposed to a highly palatable diet in adulthood.

274 Indeed, in animal models of maternal HFD or obesity, offspring consume more high-fat and high-
275 sugar foods than controls (Bayol et al., 2007; Bocarsly et al., 2012; Ong and Muhlhausler, 2011,
276 2014; Tamashiro et al., 2009; Walker et al., 2008). This may be due to an increased preference for
277 these macronutrients (Vucetic et al., 2010) but is not associated with altered orosensory stimulation
278 by their taste (Treesukosol et al., 2014). Whilst food preferences can be programmed by maternal
279 nutrition (reviewed in (Gugusheff et al., 2014), maternal obesity is also associated with altered
280 motivation for palatable foods in multiple rodent models (Grissom et al., 2014b; Naef et al., 2011;
281 Rodriguez et al., 2012). The programmed increases in preference for fat and sugar and altered
282 motivation to work for such foods are associated with changes in dopaminergic tone (Naef et al.,
283 2011; Naef et al., 2013) as well as in expression of key dopaminergic and opioidergic signalling genes
284 (Naef et al., 2011; Ong and Muhlhausler, 2011; Vucetic et al., 2010), with evidence for epigenetic
285 regulation at some loci (Grissom et al., 2014a; Vucetic et al., 2010). In fact, maternal obesity at
286 conception is sufficient to program opioid dysregulation in the offspring (Grissom et al., 2014c).
287 Therefore, maternal obesity may predispose the offspring to DIO via programmed changes in the
288 mesocorticolimbic reward pathway. Importantly, the mesocorticolimbic dopamine pathway

289 develops *in utero* in rodents with VTA efferents innervating the accumbens and cortex by birth (Hu
290 et al., 2004). Therefore, investigations into the *in utero* programming of the reward system may
291 more readily translate from mouse to man than for some other systems.

292

293 *Programming learning and memory: leptin and the hippocampus*

294 Offspring exposed to maternal obesity are slower to acquire an executive function task, in which
295 they demonstrate greater impulsivity but no difference in attention (Grissom et al., 2014b). The
296 hippocampus mediates learning and develops perinatally in both humans and rodents (Semple et al.,
297 2013). In rodents, an important period of synaptogenesis and dendritic spine formation in the
298 developing hippocampus coincides with the peak of the postnatal leptin surge in rodents, which is
299 significant as leptin induces excitatory synaptogenesis and promotes dendritic spine formation in the
300 adult hippocampus (Dhar et al., 2014a; Dhar et al., 2014b). Leptin also potentiates GABAergic
301 transmission in postsynaptic CA3 pyramidal cells from the hippocampi of newborn rats (Guimond et
302 al., 2014). The basal activity of these cells is reduced in leptin-deficient mice, as is a marker of
303 presynaptic GABA synthesis, indicating that leptin signalling is critical for GABAergic transmission in
304 the developing hippocampus (Guimond et al., 2014). In addition, chronic leptin treatment during the
305 first two postnatal weeks alters the expression of genes involved in NMDA signalling and synaptic
306 machinery and reduces long-term potentiation in pre-weaning rats (Walker et al., 2007). A similar
307 phenotype is observed in hyperleptinaemic neonates exposed to maternal HFD from late gestation
308 through lactation (Walker et al., 2008). As such, altered leptin signalling in early life may impair the
309 formation of synapses and dendritic spines and thus the maturation of the hippocampus, which may
310 underpin the reported impaired cognition, learning and memory in later life and predisposition for
311 psychopathologies and obesity (Valleau and Sullivan, 2014).

312 In addition, the programming of obesity and psychiatric disorders by maternal obesity has been
313 attributed to increased maternal-fetal inflammatory signalling (Bolton and Bilbo, 2014; Marques et

314 al., 2014a). It has recently been shown that the impairment in Arc-PVH neuropeptide Y (NPY)
315 projections seen in mice exposed to maternal DIO may be due to increased fetal exposure to the
316 inflammatory cytokine interleukin-6 (IL-6) (Sanders et al., 2014). Maternal IL-6 is also increased mid-
317 gestation in mothers with GDM and inversely correlates with birth weight and glucose tolerance
318 (Hassiakos et al., 2015). In fact, the correlation between GDM and IL-6 levels is so strong that
319 circulating IL-6 alone can predict GDM status. In addition, maternal obesity is associated with
320 increased levels of inflammatory cytokines (Challier et al., 2008; Kepczynska et al., 2013; Kim et al.,
321 2014), of which IL-6 is associated with increased risk of obesity in the offspring (Dahlgren et al.,
322 2001; Smith et al., 2007). Therefore, inflammatory cytokines are also candidate programming
323 mediators in the early life programming of central dysfunction by maternal obesity.

324

325 [Candidate programming mechanisms and factors in maternal obesity](#)

326 Potential molecular mediators of the programming of cardiometabolic disease and central
327 neuroendocrine pathways by maternal obesity have been highlighted by recent mechanistic studies.
328 Identification of the key programming factors is vital for the development of rational intervention
329 strategies. It is also important to understand the key windows for intervention: do we aim to
330 intervene before or during pregnancy and/or during early postnatal life? Should interventions target
331 maternal diet, maternal obesity or both?

332 *In utero* exposure to maternal obesity is an important target for intervention. It is important to note
333 here the differences in placental biology and developmental timings between rodents, the key
334 model for mechanistic studies, and humans. Rodent placentae structure and blood flow differ from
335 human placentae, however mice have been used successfully to model intra-uterine growth
336 restriction (Gonzalez-Bulnes and Astiz, 2015). Sheep and pig models are more common in
337 investigations into placental biology and intrauterine development, due to their closer resemblance
338 to human placental morphology but also the ability to insert catheters into the maternal and fetal
339 circulation in order to monitor placental transfer over time *in vivo* (Barry and Anthony, 2008).

340 Maternal obesity during pregnancy may impair fetal nutrition via placental adaptations (Tarrade et
341 al., 2015). Indeed placentae from obese women transport less maternal taurine, a critical beta-
342 amino acid involved in placental development and fetal growth (Ditchfield et al., 2014) and have
343 higher levels of oxidative stress and impaired mitochondrial respiration (Hastie and Lappas, 2014;
344 Mele et al., 2014). In addition, maternal DIO in mice is associated with decreased placental mTOR
345 signalling, which may contribute to the decreased fetal:placental weight ratio in late gestation via
346 altered amino acid transport (Lager et al., 2014). Conversely maternal high-fat feeding, whether
347 accompanied by obesity or not, is associated with fetal overgrowth and up-regulation of glucose and
348 amino acid transport across the placenta (Jones et al., 2009; Sferruzzi-Perri et al., 2013). Thus, fetal
349 growth may be altered in maternal obesity due to alterations in placental function.

350 In addition, altered maternal intake of vital micronutrients in maternal obesity may contribute to
351 offspring epigenetic programming. Dietary intake of key methyl donors varies seasonally in certain
352 populations such as those in the Gambia where the timing of pregnancy in relation to the seasons is
353 associated with permanent alterations in DNA methylation at key loci in the offspring (Dominguez-
354 Salas et al., 2014). This provides some of the earliest evidence for the impact of human maternal
355 methyl donor dietary intake during pregnancy on life-long epigenetic programming in the offspring.
356 In rodents, maternal dietary supplementation with methyl donors ameliorates the increased body
357 weight gain in offspring of obese dams (Carlin et al., 2013; Cordero et al., 2014) and restores fat
358 preference to control levels in association with normalisation of the methylation status at promoter
359 regions of key genes involved in the central reward system (Carlin et al., 2013).

360 The early postnatal life and the lactation period is another target for intervention. Rodents
361 experience fluctuations in hormonal levels during the first three weeks of life that have been
362 implicated in the development and maturation of key hypothalamic circuitry (Bouret, 2013). Whilst
363 this is different to human development, early postnatal life in humans is also considered to be a vital
364 time for the maturation of the brain and adipose tissue. As such, exposure to maternal obesity
365 during lactation is a factor in offspring health, with one potential mediator being alterations in

366 breast milk lipid content. In both humans and rodents, over-nutrition and accelerated growth during
367 the neonatal period is associated with increased adiposity in later life (Plagemann et al., 2012). The
368 combination of maternal obesity and HFD consumption reduces breast milk lipids, whilst HFD
369 consumption during lactation alone increases them (Rolls et al., 1986). Breast milk lipid content is
370 decreased in HFD-fed obese dams during lactation compared to HFD-fed control dams, due to
371 impaired mammary fatty acid synthesis (Saben et al., 2014). In a maternal DIO rat model, breast milk
372 levels of triglycerides are elevated but free fatty acids are decreased early in lactation and increased
373 in the latter stages (Kirk et al., 2009).

374 As discussed above, maternal obesity during pregnancy and lactation is associated with elevated
375 maternal circulating leptin, insulin, glucose and inflammatory cytokines, all of which have been
376 linked to cardiometabolic dysfunction in the offspring. Exposure to these maternal factors both *in*
377 *utero* and during early postnatal life can alter offspring development. As such, interventions should
378 aim to target women planning to conceive or soon after pregnancy is confirmed. Ensuring
379 appropriate maternal dietary nutrition, improving the metabolic status of obese women in order to
380 normalise hormonal levels, ameliorate inflammation and improve placental sufficiency, and
381 optimizing infant growth and nutrition in the neonatal period are key aims of intervention.

382

383 [Interventions to improve outcomes of offspring exposed to maternal obesity](#)

384 Improving women's metabolic health at the time when they are trying to reproduce is an attractive
385 target, since it would benefit the health of both mother and child and only a temporary
386 improvement in maternal health could improve public health for generations. Notably, dietary and
387 lifestyle advice has been shown to be effective in overweight and obese pregnant women (Dodd et
388 al., 2014).

389 Rodent models of maternal obesity have been used to study the effectiveness of dietary and
390 exercise interventions in the mother on offspring metabolic and behavioural phenotype, due to the
391 ability to enforce exercise and easily control diets in these species. Dietary intervention from before

392 pregnancy or during lactation normalises the increased adiposity and circulating leptin, insulin and
393 triglycerides in weanling offspring, rescues the altered motivation and hyperphagia and partially
394 normalises glucose homeostasis and adipocyte morphology in adulthood (Bayol et al., 2007;
395 Rodriguez et al., 2012; Zambrano et al., 2010). In addition, maternal dietary intervention rescues the
396 increased anxiety and altered social behaviours in female offspring of maternal DIO mice in
397 association with amelioration of central inflammation in these offspring (Kang et al., 2014).
398 However, the same reversal is not seen in male offspring. Voluntary exercise before and during
399 pregnancy in lean dams improves glucose homeostasis in the offspring (Carter et al., 2012; Carter et
400 al., 2013) and prevents hyperleptinaemia (Laker et al., 2014; Vega et al., 2013). This may be due to
401 the reduction in levels of maternal circulating triglycerides, glucose, insulin, cholesterol, oxidative
402 stress and corticosterone (Vega et al., 2013).

403 Randomised controlled trials (RCTs) are now being used to investigate whether the same
404 improvements can be seen in obese human pregnancies. A low glycaemic index (GI) diet during
405 pregnancy has been shown to increase weight loss from pre-pregnancy to three months after birth
406 in overweight women and thus may minimise gestational weight gain (Horan et al., 2014). Current
407 RCTs are addressing the effect of exercise alone (Sagedal et al., 2013; Seneviratne et al., 2014) or in
408 combination with dietary intervention (Briley et al., 2014) to improve health outcomes in overweight
409 and obese mothers and their children.

410 In addition, pharmacological studies are addressing the possibility of normalising the maternal
411 metabolic and hormonal state with a view to improving offspring health. Metformin, an insulin
412 sensitiser, has been trialled as an alternative to insulin treatment for gestational diabetes, with initial
413 results indicating no affect on offspring blood pressure at 2 years of age in comparison to insulin
414 treatment nor in maternal postpartum weight loss when compared to placebo (Battin et al., 2015;
415 Refuerzo et al., 2015). There is currently a trial underway to test whether metformin administration
416 during pregnancy in obese women will prevent macrosomia and this study will include investigation
417 of maternal factors, including insulin resistance, inflammation and adiposity, as well as fetal

418 adiposity (Chiswick et al., 2015). However, concerns have been raised as to the lack of long-term
419 safety data in offspring exposed to metformin during gestation (Fantus, 2015). Animal models are
420 invaluable to help address this issue. An initial study into the effects of metformin administration
421 during pregnancy in a maternal obesity mouse model found that offspring from metformin-treated
422 dams were protected from glucose intolerance and key gene expression changes in skeletal muscle
423 (Tong et al., 2011). A more recently published study suggests that offspring from high-fat fed dams
424 treated with metformin during pregnancy are protected against the exacerbated body weight gain
425 upon exposure to a high fat diet in adulthood (Salomaki et al., 2014). However, this was not a model
426 of maternal obesity and the number of litters studied was low. Additional investigations in rodent
427 models are needed to understand the long-term effects of gestational exposure to metformin and to
428 complement the human trials on short-term outcomes in obese pregnancies.

429 Further study in animal models is therefore required to inform the most effective timing and
430 intensity of specific dietary and pharmacological interventions, including in altricial species to model
431 intervention periods in line with human developmental timings (Nathanielsz et al., 2013).

432

433 Conclusion

434 In summary, recent animal studies of developmental programming by maternal obesity have
435 advanced our understanding of the underlying mechanisms as well as further elucidated aspects of
436 offspring physiology that contribute to their increased risk of obesity, cardiometabolic disease and
437 mental health disorders. As the focus shifts towards designing interventions to curtail the
438 developmental programming by maternal obesity, studies in both animals and humans are
439 necessary to ensure safety, effectiveness and specificity.

440 **Figure 1 legend**

441 **Figure 1: Maternal obesity programs obesity, cardiometabolic disease and neuropsychiatric**
442 **disorders in the offspring.** Maternal factors involved include hyperinsulinaemia, hyperglycaemia,
443 hyperleptinaemia, hyperlipidaemia and impaired placental function. Common programming
444 mechanisms in offspring tissues include oxidative stress, epigenetics and inflammation.
445 Inflammation, insulin, leptin and ghrelin have all been implicated in brain development. The early life
446 programming of brain circuits [HIP – hippocampus, HYP – hypothalamus, ML – mesolimbic pathway]
447 may contribute to altered energy balance, motivated and other behaviours. Altered central control
448 of the autonomic nervous system (ANS) may underlie cardiac and pancreatic phenotypes in the
449 offspring. Programmed changes in adipose tissue, liver, pancreas and skeletal muscle function
450 contribute to impaired glucose homeostasis. Overall, alterations in individual tissue function
451 contribute to the increased risk of obesity, cardiometabolic disease and neuropsychiatric disorder in
452 the offspring. Current strategies aim to ameliorate metabolic status of the obese mother via lifestyle
453 or pharmacological interventions before conception or during pregnancy in order to normalise
454 offspring phenotype.

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5-Figure(s)

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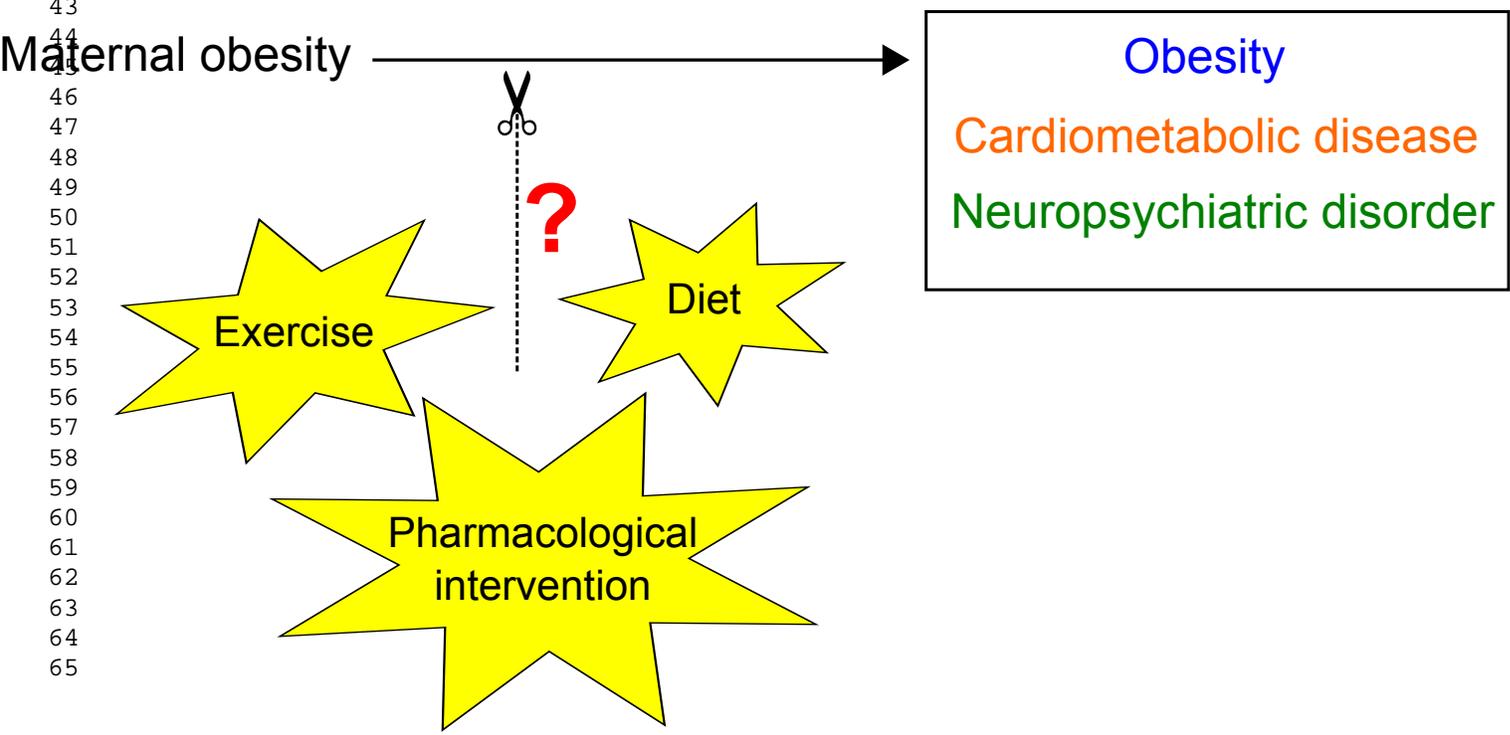
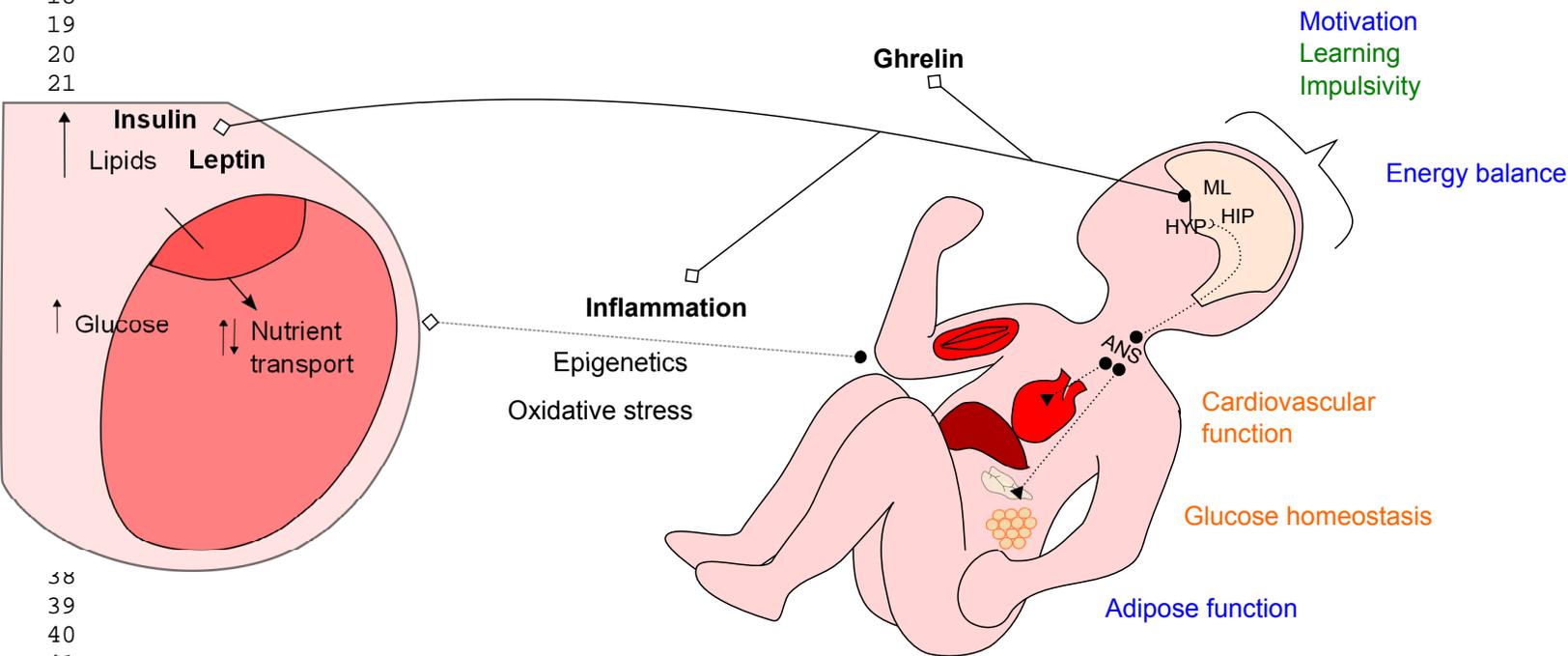


Figure 1: Maternal obesity programs obesity, cardiometabolic disease and neuropsychiatric

disorders in the offspring. Maternal factors involved include hyperinsulinaemia, hyperglycaemia, hyperleptinaemia, hyperlipidaemia and impaired placental function. Common programming mechanisms in offspring tissues include oxidative stress, epigenetics and inflammation.

Inflammation, insulin, leptin and ghrelin have all been implicated in brain development. The early life programming of brain circuits [HIP – hippocampus, HYP – hypothalamus, ML – mesolimbic pathway] may contribute to altered energy balance, motivated and other behaviours. Altered central control of the autonomic nervous system (ANS) may underlie cardiac and pancreatic phenotypes in the offspring. Programmed changes in adipose tissue, liver, pancreas and skeletal muscle function contribute to impaired glucose homeostasis. Overall, alterations in individual tissue function contribute to the increased risk of obesity, cardiometabolic disease and neuropsychiatric disorder in the offspring. Current strategies aim to ameliorate metabolic status of the obese mother via lifestyle or pharmacological interventions before conception or during pregnancy in order to normalise offspring phenotype.

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