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Title: Characterization of co-circulating swine influenza A viruses in North America and the identification of a novel H1 genetic clade with antigenic significance



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- 1 Running head: Novel clade of H1 swine influenza A viruses in US swine
- 2 Characterization of co-circulating swine influenza A viruses in North America and the identification
- 3 of a novel H1 genetic clade with antigenic significance
- 4
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24	Characterization of cocirculating swine influenza A viruses in North America and the identification
25	of a novel H1 genetic clade with antigenic significance
26	• A phylogenetic analysis of North American swine influenza A viruses is presented
27	• Continual co-circulation of 3 subtypes and 13 genetic clades in the US swine population
28	 A novel H1 genetic clade, H1γ-2, was detected
29	• The H1 γ -2 clade forms a unique antigenic cluster with functional significance
30	
31	
32	Abstract
33	Multiple genetically and antigenically distinct hemagglutinin genes of the H1 and H3 influenza A virus
34	(IAV) subtypes co-circulate in North American swine. This diversity has evolved by repeated transmission
35	of IAVs from humans to swine and subsequent antigenic drift in swine. To understand the evolutionary
36	dynamics of these diverse HA lineages in North American swine, we undertook a phylogenetic analysis of
37	1576 H1 and 607 H3 HA gene segments, as well as 834 N1 and 1293 N2 NA gene segments, and 2126 M
38	gene segments. These data revealed yearly co-circulation of H1N1, H1N2, and H3N2 viruses, with three
39	HA clades representing the majority of the HA sequences: of the H1 viruses, 42% were classified as H1 δ 1
40	and 40.6% were classified as H1 γ ; and of the H3 viruses 53% were classified as cluster IV-A H3N2. We
41	detected a genetically distinct minor clade consisting of 37 H1 viruses isolated between 2003 and 2013,
42	which we classified as H1 γ -2. We estimated that this clade circulated in swine since approximately 1995,
43	but it was not detected in swine until 2003. Though this clade only represents 1.07% of swine H1
44	sequences reported over the past 10 years, hemagglutination inhibition (HI) assays demonstrated that
45	representatives of this clade of viruses are antigenically distinct, and, when measured using antigenic
46	cartography, were as many as 7 antigenic units from other H1 γ viruses and therefore vaccines against the

47 contemporary H1 γ viruses are not likely to cross-protect against γ -2 viruses. The long-term circulation of

48 these γ -2 viruses suggests that minor populations of viruses may be underreported in the national dataset

- 49 given the long branch lengths and gaps in detections. The identification of these γ -2 viruses demonstrates
- 50 the need for robust surveillance to capture the full diversity IAVs in swine in the USA and the importance
- of antigenic drift in the diversification and emergence of new antigenic variants in swine, which
- 52 complicates vaccine design.
- 53 *Keywords: influenza A virus; antigenic drift; swine; zoonotic diseases; vaccines; epidemiology*

54

55 **1. Introduction**

Influenza A virus (IAV) was first detected in swine coincident with the 1918 "Spanish flu" (Koen, 56 1919) and subsequently isolated and characterized as an H1N1 (Shope, 1931). This lineage of swine H1N1, 57 classical-swine H1N1 (cH1N1), was the first of a number of swine IAV lineages to be detected in North 58 59 America. Though swine are only infected by a fraction of the subtypes found in the natural avian host, 60 phylogenetic methods have identified at least 16 distinct genetic clades within the 3 predominant H1N1, 61 H1N2, and H3N2 subtypes (Kitikoon et al., 2013; Rajao et al., 2014). This diversity has public health and agricultural relevance: the 2009 human pandemic (Garten et al., 2009) was of swine origin, as were variant 62 63 H3N2 viruses that emerged in 2010-2012 (CDC 2012a; 2012b; Wong et al., 2012), and intervention efforts in swine herds using vaccines are likely to often be equivocal in the face of this extraordinarily high degree 64 of variability in a single mammalian host species (Lewis et al., 2014; Vincent et al., 2008). Consequently, it 65 66 is necessary to develop a framework that quantifies swine IAV genetic and antigenic diversity; in doing so, emerging viral threats may be identified and objective criteria for updating vaccine composition could be 67 implemented. 68

During the last 20 years, quantifying swine IAV diversity has been challenged by the incursion of 69 70 novel subtypes (Nelson et al., 2012; 2014), and the continual process of antigenic drift and shift (Carrat and Flahault, 2007; Webster, 1999). Specifically, in the late 1990s a novel triple-reassortant H3N2 virus was 71 72 identified in the North American swine population comprised of seasonal human H3N2, avian influenza, 73 and the cH1N1 swine IAV (Olsen, 2002; Zhou et al., 1999). These triple-reassortant viruses co-circulated 74 and reassorted with cH1N1 viruses resulting in additional lineages of H1N1 and H1N2 viruses (Karasin et 75 al., 2002; 2000). Additional lineages of H1N1 and H1N2 viruses derived from human seasonal IAV were detected in the early 2000s and now represent approximately 40% of circulating swine isolates (Anderson 76 77 et al., 2013; Vincent et al., 2009a). All of these newly introduced lineages, after becoming endemic, 78 continue to generate novel swine IAVs: this is exemplified by the bidirectional transmission of the

H1N1pdm09 from swine-to-human and then back to swine. This genetic lineage donated its matrix gene to a majority of endemic swine IAV in U.S. viruses via reassortment (Anderson et al., 2013). Thus, there are at least 16 genetically defined clades reported in North America (Rajao et al., 2014), with little evidence

82 that the rapid evolutionary diversification is slowing.

83 Given the importance of controlling IAV in swine agriculture, and the recognition that pigs can act 84 as potential 'mixing vessels' for novel viruses (Ma et al., 2009), the United States Department of 85 Agriculture (USDA) initiated a coordinated surveillance system in 2009. This program has monitored 86 circulating H1N1, H1N2, and H3N2 subtypes through a voluntary and anonymous sample submission 87 process as part of the National Animal Health Laboratory Network (NAHLN). These data allow for the 88 characterization of the evolutionary and seasonal dynamics of IAV (Anderson et al., 2013; Kitikoon et al., 89 2013; Lewis et al., 2014). In this study, we conducted a comprehensive phylogenetic analysis of North American swine IAV collected from 2009-2014 and characterized an H1 clade that circulated in North 90 91 America for nearly 20 years despite very low levels of detection.

92 2. Materials and methods

93 2.1 Data generation

94 For the years 2009 to 2014, all nucleotide sequences sourced through the USDA Influenza Virus 95 Surveillance System for swine were downloaded from GenBank, the National Center for Biotechnology Information's online sequence repository. These data comprised 2183 HA gene segments, 2127 NA gene 96 segments, and 2126 M gene segments. Viruses were collected from swine in 30 US states (Alabama, 97 98 Arkansas, California, Colorado, Iowa, Illinois, Indiana, Kansas, Kentucky, Maryland, Michigan, 99 Minnesota, Mississippi, Missouri, Montana, North Carolina, North Dakota, Nebraska, New York, Ohio, 100 Oklahoma, Oregon, Pennsylvania, South Carolina, South Dakota, Tennessee, Texas, Virginia, Wisconsin, 101 and Wyoming) following methods described by Korslund et al. (2013). All sequence data were 102 downloaded from the Influenza Virus Resource on June 9, 2014 (Table S1; Bao et al., 2008).

103 2.2 Phylogenetic methods

104 From these data, five sequence alignments were constructed using MUSCLE with default settings 105 in MEGA5.2 with subsequent manual correction: an alignment of 1576 H1 and 607 H3 hemagglutinin gene 106 segments, an alignment of 834 N1 and 1293 N2 neuraminidase gene segments, and 2126 matrix gene 107 segments (Edgar, 2004; Tamura et al., 2011). For each alignment, a maximum likelihood tree was inferred 108 using RAxML (8.0.24; Stamatakis, 2006) on the CIPRES Science Center Gateway (Miller et al., 2010) 109 employing a general time-reversible (GTR) model of nucleotide substitution with Γ -distributed rate 110 variation among sites. The starting tree was generated under parsimony methods, with the best-scoring tree 111 and statistical support values obtained with the rapid bootstrap algorithm (1000 replications). Using the H1 phylogeny, H1N1 and H1N2 isolates were assigned to one of six previously described H1 antigenic 112 113 lineages: H1α, H1β, H1γ, H1δ1, H1δ2, H1pdm09 (Vincent et al., 2009a; 2009b; Lorusso et al., 2011). 114 Similarly, H3N2 isolates were assigned to one of four main clusters based upon the H3 phylogeny, and H3 Cluster IV isolates to one of 6 putative clades (Kitikoon et al., 2013). Within and between clade nucleotide 115 116 distances for the H1 and H3 antigenic clade designations were calculated in MEGA5.2 (Tamura et al., 117 2011).

118 The phylogenetic analyses identified a cluster of 11 sequences that were separated by a high 119 number of nucleotide substitutions from other classic lineage H1 swine IAV. To explore the evolutionary 120 origins of these divergent sequences, a second phylogenetic tree was inferred for 378 classical-swine H1N1 lineage sequences. These data included an additional 45 sequences from GenBank that had high sequence 121 122 identity using BLASTn (Altschul et al., 1990) to the set of 11 unique sequences identified in the 2009-2014 123 USDA dataset, 67 Canadian H1 α were included to ensure representation of this clade, and we omitted 124 H1N1pdm09 viruses given their unique transmission history between humans and pigs. To study the 125 genome patterns of these viruses, where data was available, we downloaded the internal genes of 378 H1 γ -126 2, and other classic-lineage (H1 α , H1 β , H1 γ) viruses. In addition to sequences already available, we 127 selected 18 isolates for whole genome sequencing following the methods in Bowman et al. (2012) using 128 the Ion 316 v2 chip and Ion PGM 200 v2 Sequencing Kit. We then inferred maximum likelihood trees for

129 each gene segment using RAxML (8.0.24; Stamatakis, 2006) on the CIPRES Science Center Gateway

130 (Miller et al., 2010) employing a GTR model of nucleotide substitution with Γ-distributed rate variation
131 among sites. Each internal gene segment was then classified to one of two evolutionary lineages: H1pdm09

132 or TRIG.

133 To estimate the evolutionary dynamics of the cH1-lineage, we implemented a time-scaled Bayesian 134 approach for the HA alignment. We employed a relaxed uncorrelated lognormal (UCLN) molecular clock, 135 a flexible Bayesian skyline plot (BSP) demographic model (10 piece-wise constant groups), and a general-136 time reversible (GTR) model of nucleotide substitution with Γ -distributed rate variation among sites. The 137 precision function in BEAUTi was used to sample uniformly within a one-year or one-month window for 138 those viruses for which an exact date of collection was not available. The MCMC chain was run 139 independently 3 times for 200 million iterations with sub-sampling every 20,000 iterations. We used the 140 BEAST package (v1.8.0: Drummond et al., 2012) with the BEAGLE library (v2.0.2: Ayres et al., 2011), 141 incorporating the date of sampling: all parameters reached convergence, assessed visually using Tracer 142 v.1.6. The initial 10% of the chain was removed as burn-in, runs were combined using LogCombiner 143 v.1.8.0, and the evolutionary history was summarized using an annotated maximum clade credibility 144 (MCC) tree using TreeAnnotator v.1.8.0. Posterior probability values were used to assess the degree of support for each node in the tree. 145

146 **2.3** Antigenic characterization

Swine antisera against two isolates identified in the H1γ-2 phylogenetic node (A/Swine/South
Dakota/A01349306/2013 and A/Swine/Illinois/A01203922/2012) were generated using previously
described methods (Lorusso et al., 2011; Lewis et al., 2014). Forty-five cH1-lineage swine viruses were
selected as hemagglutinin inhibition (HI) test antigens following methods described in Lewis et al. (2014).
In brief, prior to HI testing, sera were treated with kaolin (Sigma-Aldrich, MO, USA), heat inactivated at
56°C for 30 min and adsorbed with 50% turkey red blood cells (RBC) to remove nonspecific inhibitors of
hemagglutination. HI assays were performed by testing reference antisera raised against 45 swine H1

154 viruses according to standard techniques (Lorusso et al., 2011). Serial 2-fold dilutions starting at 1:10 were 155 tested for their ability to inhibit the agglutination of 0.5% turkey RBC with 4 HAU of swine H1 viruses. 156 These data were visualized using antigenic cartography (Lewis et al., 2014; Lorusso et al., 2011; Smith et 157 al., 2004). Antigenic distances (Euclidean distances) were measured between all strains in the antigenic 158 map and from reference antigen A/H1N1/swine/Ohio/511445/2007, selected as the H1y phylogenetic 159 cluster representative, and from A/CA/4/2009 as the prototype H1N1pdm09 strain. Additionally, to assess 160 the cross-reactivity with currently available vaccines, the H1 γ -2 antigens were tested against anti-sera 161 generated in swine with three commercially available fully-licensed swine influenza vaccines as previously described (Kitikoon et al., 2013b). Two pigs were vaccinated with each of the three vaccines and sera 162 163 tested in the HI assay. 13

164 3. Results

3.1 H1 phylogeny revealed a contemporary H1y-2 clade 165

166 The H1 phylogeny contained 11 isolates that shared a common ancestor with H1y and H1pdm09 167 subtypes, but was located on an independent branch with a long branch length: we designated viruses in this clade as H1 γ -2 based on 4.3% within and 11.8% between cluster nucleotide divergence (Table 1) and 168 169 100% posterior probability support (Fig. 1: 92% bootstrap support in maximum likelihood phylogeny, Fig. 170 S1). A BLASTn analysis with the H1 γ -2 sequences identified 45 additional viruses isolated prior to the inception of the USDA national IAV-S surveillance program with relatively high sequence identity. The 171 historical isolates were added to USDA surveillance data to investigate the evolution of this novel clade. 172 173 The new H1 data set contained randomly selected H1 sequences from H1 α , H1 β , and H1 γ isolates, as well 174 as the 11 contemporary H1 γ -2 and 45 historical H1 sequences with high sequence identity (Fig. 1). This 175 analysis revealed two clades with high statistical support closely related to H1 γ viruses. One clade (n=19) 176 (black clade, Fig. 1) does not appear to have persisted in swine herds, circulating only from 2003-2007, 177 whereas the clade we designate H1 γ -2 (n=37) demonstrates onward transmission with contemporary 178 isolates. From the time-scaled phylogeny (Fig. 1) we estimate that the time to the most recent common

179 ancestor (tMRCA) for the H1 γ -2 viruses and the most closely related swine IAV H1 γ viruses falls between 180 1994.6 and 1997.6 (95% HPD). This represents an estimated 5-8 years of undetected circulation prior to 181 the first collection of a virus from this clade in Iowa on October 10, 2003. Of the H1 γ -2 viruses collected in 182 the USDA surveillance system. HA genes were paired with classical N1 in most cases, with the exception 183 of one 2002-lineage N2. In order to determine whether H1 γ -2 HA/NA surface glycoproteins co-evolved, an analysis of the NA genes was conducted. Of the NA data (n=18) that were available, we found 16 H1 γ -2 184 185 isolates formed a monophyletic group in the NA gene segment phylogeny (Fig. 2). These results suggested $H1\gamma$ -2 HA and classical N1 genes co-segregated. 186

187 The 18 H1 γ -2 viruses with sequences for all 8 gene segments were included in phylogenetic 188 analysis of the remaining 6 genes. Historical H1 γ -2 isolates (2003-2007) contained an internal gene TRIG constellation (swine (M, NP and NS genes), avian (PB2 and PA genes), and human origin (PB1) genes); 189 190 however the contemporary $H1\gamma$ -2 isolates showed evidence of reassortment with H1N1pdm09. Two of the 191 nine contemporary USDA surveillance isolates carried the entire H1N1pdm09 internal gene backbone; five 192 carried a TRIG PB1 gene with the remaining internal genes being from the H1N1pdm09. One of the remaining contemporary isolates, A/swine/Minnesota/A01201429/2011, contained an H1N1pdm09 NP 193 194 gene with the remaining genes TRIG lineage; and one contained the internal gene TRIG constellation, A/swine/South Dakota/A01349306/2013. Taken together, this unique HA clade is also unique from a 195 whole genome perspective (Fig S4 - S8). 196

In spite of being under-sampled with sporadic detection, the H1 γ -2 had a broad geographic distribution across the midwest, south-east and south-central states: this included Iowa (IA), Illinois (IL), Minnesota (MN), Missouri (MO), North Carolina (NC), Oklahoma (OK), Texas (TX), and South Dakota (SD). H1 γ -2 continues to co-circulate in the US in addition to the other contemporary subtypes and cluster types. The gaps in years of isolation and long branch lengths suggest gaps in surveillance in herds that are infected with H1 γ -2.

203 **3.2** HA, NA and M evolutionary trends in contemporary swine IAV

204	From January 2013 to March 2014, 813 HA, 809 NA, and 810 M sequenced gene segments were
205	generated from the USDA IAV-S surveillance system and analyzed in addition to 1075 HA segments, 1049
206	NA segments, and 1040 M segments reported from 2009 – 2012 that were reported previously (Table S2:
207	Anderson et al., 2013). The 2013 through March 2014 H1 data (n = 590) contained 0.17% H1 α (n = 1),
208	3.56% H1 β (n = 21), 49.66% H1 γ (n = 293), 0.34% H1 γ -2 (n = 2), 37.28% H1 δ 1 (n = 220), 4.07% H1 δ 2 (n
209	= 24), and 4.92% H1pdm09 (n = 29) viruses (Fig. S1). The H3 data (n=223) contained 1.35% Cluster IV (n
210	= 3), 76.68% Cluster IV-A (n = 171), 13.90% Cluster IV-B (n = 31), 2.24% Cluster IV-C (n = 5), 3.14%
211	Cluster IV-E ($n = 7$), and 2.69% Cluster IV-F ($n = 6$) viruses; no H3 Cluster IV-D HA were detected (Fig.
212	S9 and Table S2). The within and between clade nucleotide distance criteria for delineating clusters
213	proposed in Anderson et al. (2013) were consistent with this extended dataset (Table 1 and 2).
214	All NA genes circulating among US swine are N1 or N2 subtypes. NA genes from N1 subtype
215	viruses (n=341) were categorized as classical swine or H1N1pdm09 lineages, while N2 subtype viruses
216	(n=468) were classified as 1998- or 2002-human seasonal H3N2 lineages (Nelson et al., 2012). N1 genes
217	of classical swine origin accounted for 87.4% (n=298) while N1 genes of H1N1pdm09 origin accounted
218	for 12.6% (n=43) of N1 detections (Fig. S2). N2 genes of the 1998 lineage accounted for 6.0% (n=28)
219	whereas N2 genes of the 2002 lineage accounted for 94.0% (n=440) of N2 detections.
220	Matrix genes were characterized by phylogenetic analysis as North American swine or
221	H1N1pdm09 lineage (Lorusso et al., 2011). The 2013 through March 2014 M data (n=810) contained
222	90.7% (n=735) H1N1pdm09 lineage viruses and 9.3% (n=75) North American swine lineage viruses (Fig.
223	S3). A 33.0% increase in pandemic matrix genes was detected compared to 2012, indicating widespread
224	reassortment between viruses carrying the pandemic matrix with endemic swine viruses. While the HA and
225	NA from the H1N1pdm09 have dramatically decreased over recent years since 2009, the M pandemic gene
226	detections continued to increase, suggesting an unknown advantage for this gene.
227	3.3 H1y-2 isolates demonstrated divergent antigenic properties within and between the H1 clusters and

228 with vaccine anti-sera

229	We characterized the antigenic inter-relationships among a subset of 45 H1 viruses consisting of
230	reference swine influenza virus strains and representative strains from the emerging H1y-2 cluster (Figure
231	3). The antigenic map shows that of the 6 H1 γ -2 viruses we characterized in HI assays, only the isolate
232	from Minnesota (A/swine/Minnesota/A01201429/2011) is antigenically similar to the previously
233	circulating H1 γ cluster strains, albeit 1.9 antigenic units away from the 2007 H1 γ clade reference strain
234	(A/swine/Ohio/511445/2007). The isolate from South Dakota is over 4 antigenic units away from the H1 γ
235	reference strain and maps closest to circulating H1N1pdm09 cluster strains from 2009-2011. The
236	Oklahoma isolate (A/swine/Oklahoma/A01134906/2011) and one from Illinois
237	(A/swine/Illinois/A01047930/2011) are antigenically similar to each other, but approximately 7 antigenic
238	units from the reference strain. The second isolate from Illinois (A/swine/Illinois/A01203922/2012) and the
239	isolate from Iowa (A/swine/Iowa/A01510129/2012) are also antigenically similar to each other, again
240	approximately 7 antigenic units from the H1 γ reference strain, but in a different trajectory from the IL/11
241	and OK/11 isolates. We aligned the HA1 coding region of the HA protein and from this we determined a
242	number of potential amino acid substitutions that are likely associated with such dramatic antigenic
243	variation (Table S3). For example, the two pairs of antigenically outlying strains differ from each other at
244	positions E186K and R189Q. These two substitution positions would be equivalent to position 189 and 193
245	in H3 (Burke and Smith, 2014) and have been shown in H3 to be associated with significant antigenic drift
246	(Koel et al., 2013; Lewis et al., 2014).
247	Against the vaccine antisera, A/Swine/South Dakota/A01349306/2013 and

A/swine/Minnesota/A01201429/2011 demonstrated low but detectable titers with all three vaccines, with

249 reciprocal titers ranging from 20 to 80. However, the remaining four H1γ-2 viruses had reciprocal titers of

- 250 20 or less against the three vaccine antisera, suggesting a potential risk of vaccine failure against the novel
- 251 H1 γ -2 clade. These HI data were consistent with the positioning of A/Swine/South

252 Dakota/A01349306/2013 and A/swine/Minnesota/A01201429/2011 closer to H1γ and H1N1pdm09 strains

253 in the antigenic map, whereas the other four demonstrated greater antigenic distance from the

254 contemporary swine H1 clusters.

255 **4. Discussion**

Swine IAV continues to circulate in North America in spite of control efforts, causing considerable 256 257 morbidity in the swine population (Brown, 2000), and representing a potential risk to the human population 258 (CDC, 2012a; CDC 2012b). Here, we studied the evolution of co-circulating swine IAV using passive surveillance data sourced from across 30 U.S. states. In doing so, we detected a novel clade of H1 γ -2 259 260 viruses that had sustained transmission in North American swine but went largely undetected for 5-8 years. We demonstrated that these contemporary viruses have unique reassorted genome constellations via 18 261 whole genome-sequenced H1 γ -2. We found that all the isolates predating the surveillance system (9 of 9) 262 263 contained North American TRIG M, NP, NS and polymerase gene segments, whereas the majority of those 264 collected from 2009-2014 (8 of 9) had reassorted with H1N1pdm09 viruses. In addition, we continued to observe an increase in detection of the pandemic matrix gene segment, suggesting an unknown advantage 265 266 for viruses acquiring this gene (Nelson et al. 2012; Anderson et al. 2013).

Further, we demonstrate that not only is there marked antigenic variation within this novel H1 γ -2 267 268 clade (between 1.9 and 7 antigenic units among the antigenically characterized strains of this novel clade) 269 but importantly, there are also antigenic differences between this novel clade and other currently circulating H1y and H1N1pdm09 that would be more likely to be included in current swine vaccines. The 270 antigenic distance is consistent with a number of amino acid changes in the HA1 domain compared among 271 the H1 γ -2 HA and between H1 γ -2 and other swine-lineage H1. The diversity that we document herein 272 273 presents two distinct problems: first, currently formulated vaccines are likely inadequate as a means of 274 H1 γ -2 control in pigs; and secondly, there is a potential risk of swine variants such as these emerging in the 275 human population due to the antigenic distance from seasonal H1 viruses, including H1N1pdm09 and pre-276 2009 seasonal strains (Nelson et al., 2014). Our data indicate between 2.1 and 4.6 antigenic units separate 277 the H1y-2 swine strains from the prototypic human H1N1pdm09 strain (A/CA/4/2009). The sustained 278 transmission of the H1 γ -2 with years of going unreported despite their widespread geographic distribution

underscores the need for the national surveillance system and increased participation from under-sampledpopulations.

Previous studies using active and passive surveillance in the U.S. revealed continuous circulation of 281 282 at least 7 genetic clades of swine IAV in vaccinated and non-vaccinated commercial farms (Anderson et 283 al., 2013; Corzo et al., 2013). In addition, antigenic studies on swine IAV demonstrated that increased levels of genetic diversity have functional antigenic consequences (Feng et al., 2013; Lewis et al., 2014). 284 We demonstrate 3 major phylogenetic clades representing 83% of all co-circulating IAV in North 285 American swine in 2013-2014 alongside an additional 12 diverse but minor milieu of genetic clades. From 286 287 these data, current swine management and/or IAV control practices appear insufficient to prevent IAV 288 from being persistently transmitted within and between swine production facilities (Rose et al., 2013) and across seasons (Anderson et al., 2013). A potential explanation for the continual circulation is that vaccines 289 may only decrease IAV transmission rather than eliminate it (Romagosa et al., 2011); and further, given 290 291 relatively mild or subclinical disease presentation, there may be less impetus for implementation of vaccination programs (Bowman et al., 2012a; 2012b). Although this may be financially appealing in the 292 short-term, a long-term vision is needed for controlling IAV in swine: swine IAV represents a substantial 293 294 economic burden (Rajao et al., 2014); strains with seemingly low disease phenotypes can gain virulence through adaptive mutations or reassortment or contribute to multi-factorial pneumonia when combined 295 with additional pathogens or environmental stressors; the risk of incursion of a newly introduced strain of 296 IAV is relatively high due to the widespread circulation of diverse swine IAV genotypes with potentially 297 dramatic consequences in naïve herds; humans and swine express similar influenza virus-binding α -2,6 298 299 sialic acid receptors that can facilitate bidirectional transmission (Imai and Kawaoka, 2012); and swine can play an important role in the generation of novel viruses via reassortment (Nelson et al., 2012). 300

301 Currently in North America there are a number of commercially available swine IAV vaccines 302 (reviewed in Reeth and Ma, 2012). While manufacturers disclose the phylogenetic "cluster" of the strains 303 that the product contains, they do not provide genetic or antigenic data. Regardless of the exact constitution

304 of these formulated vaccines, the marked genetic diversity we document poses a significant problem to 305 their use as an effective means of control as demonstrated by the HI data with the vaccine antisera reported here. Experimental studies in North America and in Europe (e.g., Loving et al., 2013; Van Reeth et al., 306 307 2001) revealed that protection against infection can be correlated with the genetic relatedness of the 308 vaccine strain to challenge strains (but see Kyriakis et al., 2010). However, despite the observation that 309 there are broad antigenic clusters in H3 and H1 swine IAV (Feng et al., 2013; Lorusso et al., 2011), it 310 appears that as few as one or two amino acid changes in the HA-1 domain are enough to drive a more than 311 4-fold reduction in relative cross-reactivity (Lewis et al., 2014). To counter this problem, there are three 312 potential strategies that are not mutually exclusive: the implementation of vaccine platforms that provide broader heterologous protection (e.g., Rajao et al., 2014; Vincent et al., 2012); the generation of more 313 immunogenic vaccines via appropriate dosage and adjuvants; or the regular reconstitution of vaccine 314 formulations to reflect circulating genetic and antigenic diversity. Given that it is unlikely even a highly 315 316 immunogenic vaccine will protect against all known circulating diversity (Anderson et al., 2012), regular 317 assessment of the predominant antigenic clades will facilitate the appropriate selection of vaccine seed strains and may help prevent human zoonotic events such as variant H3N2 (Wong et al., 2012). 318 319 Interestingly, our data revealed a statistically supported clade of 37 viruses that we classify as $H1\gamma$ -2 that included 11 contemporary isolates (Fig. 1). The H1 γ -2 was first identified in Iowa in 2003, with two 320 321 thirds of the H1 γ -2 viruses identified from 2003 – 2007. The H1 γ -2 lineage was only detected in IA and 322 TX in 2003, but appeared to have spread throughout the midwest (MN) and south-central (OK, MO) U.S. 323 by 2004. In subsequent years, in addition to SD and IL, $H1\gamma$ -2 viruses were detected in southeastern states 324 such as NC. Following initial records in 2003, viruses in this clade continued to circulate in swine herds 325 across the U.S. until 2007, followed by a period of no detection between 2008-2009, and then evidence of 326 onward transmission in 2010 - 2013. Millions of hogs are transported within the U.S. in addition to the 327 millions more that are imported from Canada and other counties as part of the integrated North American swine market (USDA-NASS: http://usda.mannlib.cornell.edu/). Likewise, the USA exports thousands of 328

live pigs to Mexico (as well as mainland China and Russia) each year (USDA-NASS: 329

330 http://usda.mannlib.cornell.edu/). These movements provide a potential route for the introduction and

331 transmission of genetically distinct swine IAV such as the H1 γ -2. Though not explicitly tested, the

dissemination of the H1 γ -2 clade does not appear to follow swine transportation routes from south-central 332

333 U.S. and southeastern U.S. to the Midwestern U.S. documented in swine H181 and H182 IAV and porcine

reproductive and respiratory syndrome virus (Nelson et al., 2011; Shi et al., 2010). The long branch lengths

335 and absence of detection over long periods of time suggest gaps in surveillance in herds that are infected

336 with H1 γ -2. Further, although H1 γ -2 was infrequently detected, the persistence of this viral lineage

indicates potential co-circulation with a risk of expansion, particularly as these isolates may be more than 7 337 338 antigenic units from other contemporary H1 γ or other H1 cluster viruses and found in states with relatively 10 339 high population densities of hogs.

340 5. Conclusion

334

341 In this study, we identified a novel clade of H1 viruses that we classified as H1 γ -2 due to their divergence from the major clade of H1y viruses approximately 20 years ago. These viruses appear to be 342 343 endemic in North American swine, are geographically widespread, and have reassorted with other endemic 344 swine IAV and H1N1pdm09. In addition, we demonstrate that current vaccine formulations are unlikely to 345 protect against these H1 γ -2 viruses due to substantial antigenic drift from H1 γ viruses during many years of independent evolution. These data highlight the importance of the passive USDA Influenza Virus 346 Surveillance System in swine for detecting minor variants that present a threat to swine and potentially to 347 348 human health, and for providing a more complete understanding of the evolutionary dynamics of IAVs in 349 North American swine (Jhung et al., 2013; Ma et al., 2009; Vincent et al., 2013).

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541 Figure legends

Figure 1. Phylogenetic relationships of classic lineage swine H1. Time-scaled Bayesian MCC tree inferred for the HA (H1) sequences of 378 swine IAVs. Isolates are color-coded and labeled by the HA lineage. H1 α are cyan; H1 β are blue; H1 γ are green; and H1 γ -2 are purple. The clade in black is closely related to H1 γ viruses, but appears not to have persisted in swine herds, circulating only from 2003-2007. Posterior probabilities >0.9 are included for key nodes; phylogeny with tip labels included is presented in Figure S10.

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Figure 2. Maximum-likelihood phylogeny of NA N1 gene swine influenza A viruses collected as part of the United States Department of Agriculture surveillance system from 2009-2014. These data represent 782 viruses, along with an additional 45 putative H1 γ -2 viruses that predated the surveillance program were also (total n=827). The branches are colored by NA genetic lineage; N1 NA classic swine are colored gray; N1 NA H1N1pdm09 viruses are colored red; the H1 γ -2 viruses are identified and colored purple. The scale bar represents nucleotide substitutions and tree is midpoint rooted for clarity. A phylogeny with tip labels included is presented in the supplementary materials.

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Figure 3. 3D antigenic map of swine influenza A (H1N2 and H1N1) from 2009–2013. The relative positions of isolates (colored spheres) and antisera (open grey cubes) were computed (A) such that the distances between isolates and antisera in the map correspond with the least error to measurements in the HI assay (Smith et al. 2004). The white scale bar represents 1 unit of antigenic distance, corresponding to a twofold dilution of antiserum in the HI assay. The strains are colored by phylogenetic cluster: H1 α (pink), H1 β (cyan), H1 γ (green), H1N1pdm09 (red) and H1 γ -2 (purple). The strain names for the numbered purple isolates are shown in the legend.

565

		Betw	een (%))			
	Within (%)	H1α	H1β	H1γ	Η1γ-2	H1pdm09	H1ð1
H1α*	14.5						
H1β	5.1	18.9					
H1γ	2.4	17.8	13.2				
H1γ - 2	4.3	19.7	15.8	11.8			
H1pdm09	1.7	18.4	14.1	9.40	13.2		
Η1δ1	3.0	43.8	40.0	41.0	41.6	41.6	
Η1δ2	4.7	44.3	40.0	40.0	40.2	41.7	11.3

566 Table 1. Average percentage pairwise nucleotide distances within and between H1 antigenic clades

⁵⁶⁷ *H1α is represented by 2 isolates in USDA surveillance data from 2009-2014 (see Table S2);

568 consequently, these data may not represent true diversity.

Table 2. Average percentage pairwise nucleotide distances within and between cluster IV H3 antigenic
clades designated using the terminology of Kitikoon et al. (2013).

			Between (%)				
	Within (%)	IV	IV-A	IV-B	IV-C	IV-D	IV-E
IV	4.0						
IV-A	1.3	5.9					
IV-B	3.4	5.8	6.3				
IV-C	1.4	6.6	7.0	7.1			
IV-D	4.1	5.4	6.1	6.0	6.8		
IV-E	1.3	5.6	5.6	6.1	6.9	5.5	
IV-F	1.3	6.5	6.6	7.3	7.6	6.6	6.7







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